



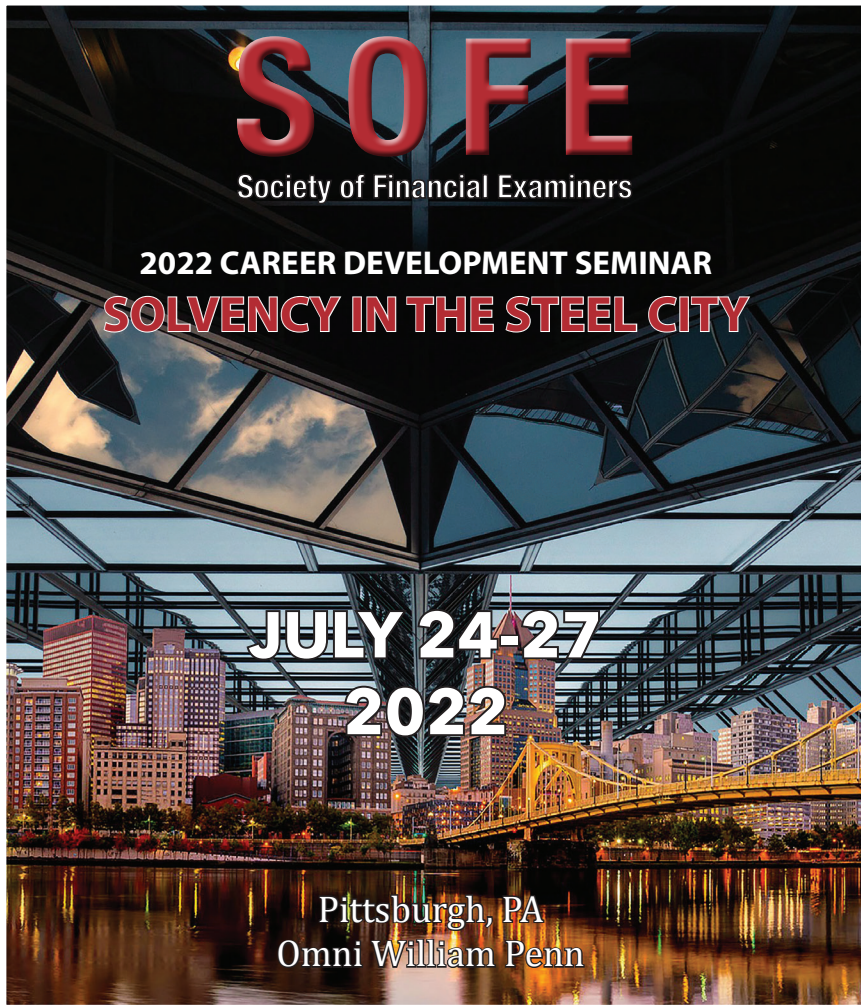
SOFE

Society of Financial Examiners

INSIGHT

MAY / JUNE / JULY 2022

Volume 51 • ISSUE 2



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REGISTER NOW @ meetingservicesinc.com/SOFE

Register by JUNE 27 and save \$100!

As SOFE's first in-person conference in three years, the 2022 CDS is going to be one to remember! Continue reading to see what SOFE has planned for attendees this year!

With a **prohibition-themed social**, esteemed speakers and wonderful sponsors, this year's conference will make up for missing each other the last two years.



Visit www.sofe.org



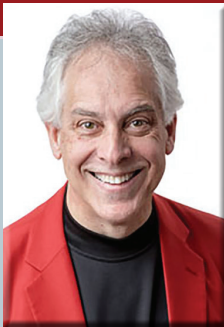
Sunday Social

Venture Back to the 1920'S

JULY 24 • 6PM - 9PM

JOIN US FOR DINNER, DRINKS AND MUSIC

DRESS TO IMPRESS BECAUSE
SOFE WILL BE HOSTING
A COSTUME CONTEST



CDS Keynote Speaker : Chuck Gallagher

Ethics in the workplace is a serious matter, but talking about it doesn't have to be "business as usual." Chuck Gallagher learned a lesson about ethics, choices and consequences the hard way—but now he shares his experience so that others don't have to.

You may have seen Chuck on television, or heard him on CNN, CBS or NPR radio programs. His business insights are sought after for his strong position on ethics and ethical leadership. Chuck's focus is business—but his passion is empowering others. His unique business ethics presentations clearly demonstrate he brings something to the platform that isn't often found in typical business ethics speakers. Chuck's personal experience in building businesses and sales teams while leading companies provides a practical and powerful framework for ethical success.

Currently Vice President of a national public company and former Sr. VP of Sales and Marketing for a public company, Chuck may have found a sales niche early on in life selling potholders door to door, or convincing folks to fund a record album of his musical performance at age 16 (*and yes, those were the days when an album was made of vinyl*), but it was the school of hard knocks that provided a fertile training ground for Chuck's lessons in success. Described as creative, insightful, captivating, and a person that "connects the dots" between behavior, choices and success, Chuck gives his clients what they need to turn concepts into actions and actions into results.

In the middle of a rising career, Chuck lost everything because he made some bad choices. He has since rebuilt his career and his life back to immense success. With more vulnerability than the average keynoter, Chuck shares with his audiences his life journey, the consequences of his unethical choices, and how life gives you second chances when you make the right choices. In fact, Chuck's new book, *SECOND CHANCES: Transforming Adversity into Opportunity*, has received numerous endorsements and has been described as one of those rare books that effectively bridges the gap between personal accountability and business success.

With Chuck you have an industry professional sharing practical tested and time-proven methods that can enhance personal and professional performance. What Chuck shares in his business ethics presentations, whether training, keynotes, and consultants are understandings of not only "how to", but also "what motivates behavior"—behavior of individuals that can create personal and professional success.

On a nationwide basis, Chuck has helped countless individuals on their journey to success!



SOFE Important Reminders

SELF-NOMINATION FORMS | BOARD OF GOVERNOR'S ELECTION

For those interested in serving on SOFE's Board of Governors, self-nomination forms are due **MAY 11**. They can be found in the [Member's Only Area](#) of the website.

The 2022 Board of Governor's Election will open Friday, **JUNE 24**. You will find the election link on SOFE's website.

CDS SCHOLARSHIP APPLICATIONS NOW AVAILABLE

Visit sofe.org to view and complete a scholarship application. The deadline to submit an application is **MAY 27**.

FOUNDERS AWARD NOMINATION

This is awarded every year to a SOFE Member, that is not on the Executive Committee, in recognition of their dedication and service to the Society. If there is a member that you believe should be nominated because of their contributions to the Society, please email sofe@sofe.org with their name and brief explanation as to why they deserve this award by **MAY 31**. The awards will be presented at this year's CDS.

CRE CREDITS AT NO CHARGE

SOFE currently has 5 CRE credits available at no charge for SOFE Members on the SOFE website.

- 1 2021 Financial Condition Examiners Handbook Update | 1 CRE
- 2 2021 Financial Analysis Update | 1 CRE
- 3 Business Ethics '21: Do Facts Even Matter Anymore? | 1.5 CRE | Ethics
- 4 Behavioral Ethics | 1.5 CRE | Ethics

WANTING TO GET INVOLVED?

SOFE will send out information on volunteering for SOFE's various committees in August after CDS.

MEMBERS ONLY AREA

[Member's Directory](#)

[View CRE Credits](#)

[Report CRE Credits](#)

[Update Profile](#)

[Update Billing Information](#)

[Update Username/Password](#)

[Logout](#)



REMINDER

Please be sure to update SOFE Headquarters with changes in your contact information.



Education Committee Corner

**Barry Armstrong, CFE and Richard Foster, CFE
Co-chairs**

For some members, their only interaction with the Education Committee might be during the annual CRE audits (ugh!). But the Education Committee's work extends far beyond this area.

According to SOFE's Bylaws, *"The Education Committee shall be responsible for the development, management, maintenance and administration of all training and continuing education programs of the Society; coordination of all such responsibilities with other professional and academic groups; and the development and management of such financial resources as may be necessary to assist the Society in the implementation of all such programs."*

As part of our continuing work, the Education Committee looks for opportunities to provide quality continuing education opportunities that are relevant for our members' careers and at a reasonable cost (and even sometimes a no cost!).

An example of this is the recent SOFE Free Training Day, which was held on December 15, 2021 and provided over 4 hours of free CRE credits to 155 of our members.

We have recently established a goal of providing 8-12 additional hours of CRE opportunities for our members each year to help fill the gap between the CRE credits offered at our annual CDS and the 120 CRE credits that are required over each rolling 3-year period.

Members can also take advantage of additional opportunities for no-cost CRE on the SOFE website, where they can find recorded webinars for the required annual Financial Condition Examiners Handbook update and the Financial Analysis Update. We also offer at least 2 hours of recorded online ethics presentations. In addition, up to 8 CRE credits are offered through successful completion of the quarterly EXAMINER CRE Reading Program Test on the SOFE website (up to 2 hours of CRE for each quarterly publication).

We welcome SOFE members to submit suggestions for SOFE-sponsored webinar topics, and more importantly, are looking for volunteers to do the presentations. Please feel free to contact Barry Armstrong or Richard Foster with your training topic suggestions and offers to volunteer.

As you can see, the Education Committee is hard at work to provide meaningful, relevant education opportunities for our members. If you are interested in serving on the Education Committee, the requirements are that you must be a CFE in good standing with the Society. Our current Education Committee will serve through the upcoming CDS in July 2022. The next Education Committee Chairman will be soliciting volunteers sometime after the SOFE CDS. A general call for committee volunteers will be sent out in late July or early August 2022.

For further information on the Education Committee's activities, visit the Members Only area of SOFE's website and look up the Education Committee under the Policies and Procedures Link from the Guidelines & Procedures section.

2021-22 SOFE Education Committee Members:

Barry Armstrong, CFE, Co-chair	Richard Foster, CFE, Co-chair
Robin Brown, CFE	Joanne Campanelli, CFE
Laura Clements, CFE	David Crandall, CFE
Chris Gallo, CFE	Shannon Hopkins, CFE
Dan McBay, CFE	Jan Moenck, CFE
Marc Moyer, CFE	Liz Nielson, CFE
Mark Ossi, CFE	Scott Reeves, CFE
Robin Roberts, CFE	Casey Shaw, CFE
Philip Talerico, CFE	Mark Wiedeman, CFE
Scott Williams, CFE	

Contact Info:

Barry Armstrong, CFE
Education Committee Co-chair
E-mail: barmstrong@noblecon.net
Phone: 818-388-7960

Richard Foster, CFE
Education Committee Co-chair
E-mail: rfoster@insris.com

State Chair Vacancies

U.S. VIRGIN ISLANDS

If you would like to volunteer to be a State Chair, please contact SOFE Headquarters.



New Members and Designees

Welcome to the new SOFE members who were approved by the Executive Committee since our last publication of *InSIGHT*:

Welcome New Members!

GENERAL MEMBERS

Juan Aviles, TX
Jacob Burkett, WI
Dawson Coudriet, NE
Chelsea Duran, TX
Fasiha Feroz, TX
Colin Greeley, ME
Kathleen Holmes, RI
Corey Hubbard, FL
Victoria Pasquariello, CT
Branden Philpot, WA
Ariane Rameriz, TX
Mai Tran, NE
Alexis Welsh, PA

Congratulations to the following members who were granted SOFE designations by the Executive Committee since our last publication of *InSIGHT*:

Congratulations to our New Designees!

AFE DESIGNEES

Nolan Beal, NE
Rachel Cagle, LA
Scott Emery, NE
Jordan Mills, OR
Jacob Moran, OH
Araceli Robinson, TX
Clay Williams, SC
Kathleen Wilson, MD

CFE DESIGNEES

Andrew Guerrero, TX
Nicholas Illuzzi, RI
Donald Kehler, NC
Michael Lewandowski, MA
Judy Miranda, TX
James Whitley, TX
John Wiatr, NE
Stephanie Woods, NH



In Memoriam: Paul Carson, CFE, CPA, ARe, ARA



Born in Nashville, Tennessee, passed away in Columbia, South Carolina on **February 20, 2022**.

A long-time member of the Society of Financial Examiners who served as the South Carolina State Chair for many years. Worked in the Examinations area at the South Carolina Department of Insurance from March 2005 until retiring in July 2018. Other examination experience included seven (7) years at both the Tennessee Department of Commerce and the Arizona Department of Insurance. Outside interests included

ballroom dancing, serving eight years as the Treasurer for the Greater Columbia Chapter of USA Dance, and playing bridge.

Paul's kindhearted and gentle spirit will be missed by all who had the pleasure of knowing and working with him.

May he rest in peace.

James 'Ray' Hartsfield, CFE

James 'Ray' Hartsfield: It is with a heavy heart that we share the news that Ray Hartsfield passed away on **November 11, 2021**. Ray was a long-time member of the Delaware examination team, a contract examiner who started more than 30 years ago. Ray was a SOFE Member and CFE for more than 30 years. Ray worked on financial analysis and financial examinations before he moved to the market conduct side of our process. Ray was a quiet man with a big heart and will be missed by all who were lucky enough to work with him.



Mark Your Calendar

Upcoming SOFE Career Development Seminars

Details as they are available at: www.sofe.org

2022 July 24-27

Pittsburgh, PA
Omni William Penn

2023 July 16-19

Louisville, KY
Omni Louisville

**2024 July 28-
August 1**

Oklahoma City, OK
Omni Oklahoma City Hotel



Examiner

Authors Wanted

Authors wanted for The Examiner with upcoming deadlines for **Summer 2022** being **May 23**.

Interested authors should contact the Publications Committee Chair via sofe@sofe.org

SOFE Headquarters

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Our hours of operation are
Monday-Friday 8:30am to
5:00pm EST.

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