



**SOFE**

**Society of Financial Examiners**

# INSIGHT

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DECEMBER / JANUARY / FEBRUARY 2023-2024

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## SOFE Membership Dues Renewal Reminder



**Membership dues  
Payment Deadline  
DEC. 31, 2023**

**Late payment deadline  
MARCH 31, 2024\***

\*Late payments are subject to a \$15 late fee. Failure to submit dues and/or required credits by **MARCH 31, 2024** is grounds for suspension from SOFE membership and designations.

Membership dues are paid conveniently online at [sofe.org](http://sofe.org).

### MEMBERS ONLY AREA

Member's Directory

View CRE Credits

Report CRE Credits

Update Profile

Update Billing Information

Update Username/Password

Logout

- TO PAY DUES**
1. Log into the **MEMBERS ONLY AREA** line at the upper right tab of the SOFE website.
  2. Then select **Billing/Invoices**.
  3. Once you have submitted your payment information, you will receive a receipt via your e-mail address on record.

If you have forgotten your username and password, follow the instructions at the member login area.

Remember to update your profile information in the **MEMBERS ONLY AREA** including your address, phone and e-mail address so we can continue to communicate with you.

►► **Important Note:** Certain members had their dues paid by their Department or Firm under a group bill process. If you are uncertain if your dues are covered by the group bill, please contact [sofe@sofe.org](mailto:sofe@sofe.org) to confirm.



## Report 2023 CRE Credits Online

Take time to view the CRE credits you have reported and enter any missing credits by **JANUARY 31, 2024**.

**LATE PAYMENT DEADLINE IS MARCH 31, 2024\*.**

▶ *Note: If you attended the 2023 CDS, your CRE credits were automatically added to your records.*

**TO ENTER  
CREDITS**

- ✓ Go to the MEMBERS ONLY AREA
- ✓ Select Report CRE Credits, fill in the information and select Submit My Credit.
- ✓ When complete, select View CRE Credits, change the start and end year to 2023 and select search.  
Go to the bottom of the page to view the total CRE credits recorded for 2023.

\* Late payments are subject to a \$75 late fee. Failure to submit dues and/or required credits by **March 31, 2024** is grounds for suspension from SOFE membership and designations.

▶ If you're not be able to submit the required credits prior to **January 31**, you may submit a request for extension. This form is available on the SOFE Website under Resources > Forms.

## CRE Audit

All members with a designation have the possibility of being selected for the annual audit of the CRE credit hours they reported.

Annual audit selections of reported CRE Credits for the year are as follows:

- ✓ A random selection of 5% of all designees' reporting
- ✓ One hundred percent (100%) of all questionable, late or incomplete reporting.

The CRE credit reported by all new members of the Board of Governors is audited in their first year in office. A random selection of 20% of the other Board members' CRE reporting for the year is audited. For details on the audit process, refer to the Policy and Procedures for the Education Committee located in the Members Area.

Designees with questions about the audit may contact SOFE at [sofe@sofe.org](mailto:sofe@sofe.org). For more information on annual requirements as well as prorated requirements, please visit [sofe.org/certification](http://sofe.org/certification).

## "We Heard You" on SOFE Membership Survey

### THANK YOU!

To all of those who completed the SOFE Membership Survey earlier this year. We appreciate you taking the time to provide SOFE with such valuable feedback. We want to assure you that we have heard you and are taking action and making steps with your words in mind.

## 2023 Virtual Mini-CDS: December 11 & 14

SOFE is offering another VIRTUAL MINI-CDS!

- Virtual sessions will be hosted at no cost to SOFE Members.
- More information on the program and registration will be sent out via email to all SOFE members.

## Update from SOFE's Examination Committee

The Examinations Committee has completed the re-write of the AFE 3, Life and Health Insurance Accounting, test and the questions were made available in October. The prior test questions will remain available for use until **MARCH 31, 2024**. The re-written questions are based on the Life and Accident and Health Insurance Accounting, Sixth Edition (published in 2018). There are also 25 practice questions that can be accessed prior to the exam to provide familiarity for the type of questions that could be asked.



# SOFE'S 2024 CAREER DEVELOPMENT SEMINAR

# WELL-OILED REGULATION

## Call for Presentations

The SOFE CDS Committee is seeking your participation at the 2024 Career Development Seminar in **Oklahoma City, Oklahoma | July 28 - August 1.**

What we are looking forward to in 2024:

- We invite you to participate by serving as a speaker, panelist, or moderator.
- To submit a presentation, please visit the following link: <https://www.sofe.org/forms/call-for-presentations.html>.

## Submission Information

Submissions for the 2024 Annual Meeting must be completed by **JANUARY 19, 2024.**

- The information provided through this form will be used by the CDS Committee to objectively select those speakers that will comprise the CDS Agenda.
- A separate form must be completed for each presentation being proposed.
- Submissions for panel discussions involving more than one speaker should be submitted by a single presenter.
- Please list all speakers or presenters who will participate, including all appropriate designations i.e. CFE, AFE, AES, CISA, CPA, etc. Incomplete applications will not be accepted. Late applications may be accepted on an as-needed basis.
- Biographies and photos of presenters are required at the time of submission.
- If submitting a presentation in accounting, auditing, or taxes, to remain in compliance with the NASBA Statement on Standards for CPE Programs, Standard No. 5, please include the participation of at least one licensed CPA (in good standing).

When submitting, please allow a few seconds for the form to load. Complete the form online and then hit the "Submit" button on the form, allowing 60 seconds before closing your browser. A confirmation e-mail will be sent upon successful completion of the online form.



# Committee Corner: Career Development Seminar (CDS) Committee

## What does the CDS Committee do?

The CDS Committee manages and oversees the coordination of the annual CDS, which offers educational and networking opportunities for SOFE members. Tasks assigned to the CDS Committee include, but are not limited to, selection and finalization of the following:

- CDS Social
- CDS Theme
- Save the Date Card

## Call for Presentations

- CDS Program, including all general and breakout sessions
- Registration Website and Brochure
- Sponsorship Program
- CDS App
- Speaker Evaluations

Members of the committee also assist during the CDS by introducing speakers prior to their presentation and by scanning participant badges for purposes of CRE credits. Most importantly, the CDS Committee ensures all aspects of the CDS proceed smoothly and successfully. The Committee makes it a priority to ensure the educational seminar includes a wide variety of topics to meet the needs of all attendees, which include regulatory updates, information technology, best practices for examinations and analysis, ethics, fraud, and various other insurance topics.

## What are the requirements of CDS Presenters?

Speakers are responsible for providing educational sessions which are 50 or 75 minutes. Presentations can be provided individually or as a group. As noted above, there will be a mixture of general and breakout sessions which provide the opportunity for learning through individual lectures and panel discussions.

The CDS Committee seeks to select a diverse number of speakers from both current SOFE members and professionals in the insurance and related field or other soft skill development speakers useful in the general knowledge of our members. All speakers must apply through the Call for Presentations form online.

## Why should I present at the CDS?

Speakers at CDS have a unique opportunity to share their knowledge and expertise with other SOFE members. This can also be an opportunity for growth and development for new speakers. As an additional bonus, SOFE members earn double SOFE CRE credits for the session they present at.

## 2023-2024 CDS Committee Members

The CDS Committee is currently comprised of 19 members. Committee members must be general, accredited, or certified SOFE members in good standing. Below are this year's committee members:

Lindsay Crawford, CFE, Co-Chair  
Malis Rasmussen, CFE, Co-Chair  
Liz Nielson, CFE  
Alea Talbert Pence, CFE  
Joanne Campanelli, CFE  
Lori Brock, CFE

TJ Addison, CFE  
Shannon Hopkins, CFE  
Glenn Legault, CFE  
Jerry Cihota, CFE  
Rick Nelson, CFE  
Robin Roberts, CFE

Annette Knief, CFE  
Casey Shaw, CFE  
Vivien Fan, CFE  
Anjanette Briggs, CFE  
Emma Muambo, CFE  
Jenny Jeffers, AES  
Kenna Schievelbein

## Call for 2024 CDS Presenters

Attention Prospective CDS Presenters! Potential presenters for the 2024 CDS must complete and submit their presentation proposals online beginning November 14, 2023. Presenters are encouraged to submit their proposals early in the submission period so that they can address any questions from the CDS Committee in advance of the selection process. The link to the presentation submission form will be on the SOFE home page [www.sofe.org](http://www.sofe.org). SOFE members will be encouraged to forward the Call for Presentations link to non-members when it is available.





## New Members and Designees

Welcome to the new SOFE members who were approved by the Executive Committee since our last publication of InSIGHT:

### Welcome New Members!

#### GENERAL MEMBERS

Daniel Arana, IL  
Tabitha Brown, KY  
Patricia Coppel, GA  
Patrick Drake, CT  
Knakki Gordon, CT  
Melissa Greiner, PA  
Adam Heyn, IA  
Robert Kalna, AZ  
Melissa Katz, CT  
Brandon Martin, CT  
Cade McCoy, OK  
William Qualls, OK  
Sebastian Rivera, CT  
Eric Sanchez, TX  
Jahan Sarowar, VA  
Stephen Szygula, FL  
Carlos Velasco, FL  
Griffin Violette, CT

Teresa Warner, IL  
Margaret LeAnne West, GA  
Alden Williams, UT

Congratulations to the following members who were granted SOFE designations by the Executive Committee since our last publication of InSIGHT:

### Congratulations to our New Designees!

#### AFE DESIGNEES

James Anderson, ID  
Shiyu Fang, TX  
Diamond Koh, NE  
Shelly Liu, CA  
Sheik Mohamed, NY  
Germaine Ouedrago, TX  
Ariane Rameriz-Castano, TX  
Robert Reid, AR

#### CFE DESIGNEES

Lavern Darby, MD  
Brian Davis, NE  
Travis Dilley, OH  
Gerald Hicks, II, VA  
Joseph Jacobson, NE  
Christian Mitterholzer, CA  
Richard Morris, OH  
Zachary Wheatley, OH

## MSI STAFF NEWS



### Holiday Closures

Thanksgiving | November 23 & 24 (Thu.-Fri.)

Christmas | December 25 (Mon.)

New Years | January 1 (Mon.)

L:R) Debbie Wilborn | Katie Hess | Rhenda Davis | Cindy Dodson

Your management staff is available for questions about your 2023 CRE Credits and any other SOFE needs as the year draws to an end. We are eager to assist you, so please do not hesitate to contact us!



*We wish you all a very Merry Christmas and a Happy New Year!*



# Mark Your Calendar

## Upcoming SOFE Career Development Seminars

Details as they are available at: [www.sofe.org](http://www.sofe.org)

**2024 July 28-  
August 1**

Oklahoma City, OK  
Omni Oklahoma City Hotel



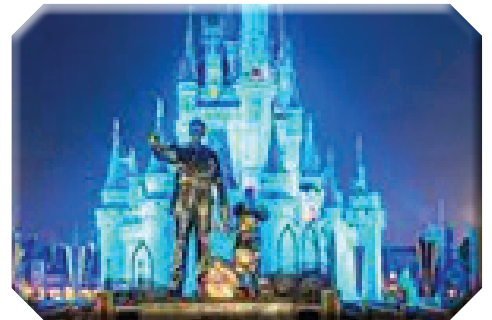
**2025 July 19-22**

San Diego, CA  
Omni San Diego Hotel



**2025 July 26-31**

Orlando, FL  
Omni Orlando Resort  
at Champions Gate



## Authors Wanted



**Examiner**<sup>®</sup>

2024 Spring Examiner

**1/19/24**

2024 Summer Examiner

**4/29/24**

The Publications Committee is seeking members and non-members to write articles for upcoming editions of The Examiner professional magazine. Suggested articles include current topics relevant to the insurance industry, ERM, ORSA, statutory accounting, risk-focused financial examinations and financial analysis procedures, IT general control reviews, prospective risks, fraud risks, examiner soft skills and best practices. CDS or other conference presentations are prime examples of information that can be turned into articles. Professional contacts in the insurance industry are also great resources for articles.

Contributing an article is a great way to showcase your expertise in the insurance regulatory community and to receive six (6) Continuing Regulatory Education (CRE) credits for each technical article selected for publication. Interested authors should contact the **Publications Committee co-chairs at [john.romano@bakertilly.com](mailto:john.romano@bakertilly.com), [shawn.frederick@tdi.texas.gov](mailto:shawn.frederick@tdi.texas.gov), and/or [sofe@sofe.org](mailto:sofe@sofe.org).**

### SOFE Headquarters

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Our hours of operation are Monday-Friday 8:30am to 5:00pm EST.

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