



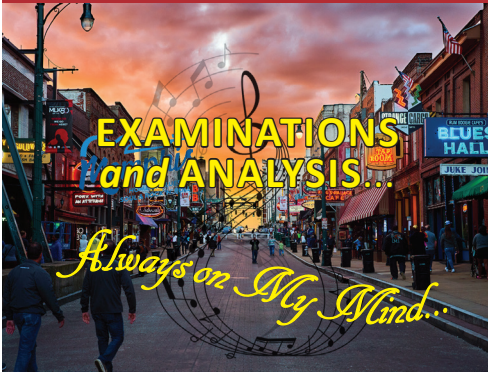
SOFE

Society of Financial Examiners

INSIGHT

AUGUST/SEPTEMBER/OCTOBER 2019

Volume 48 • Issue 3



The 2019 “Examinations and Analysis...Always on My Mind...” SOFE CDS which took place at the Peabody Memphis in Memphis, TN was a great success. CDS attendance was over 500! Attendees thoroughly enjoyed the Sunday Night Social at Graceland Mansion, home of Elvis Presley.

Many thanks for the success of this event go to the CDS sponsors, listed on page 3 and to the CDS Committee chaired by Richard Foster and the CDS Program Committee co-chaired by Ryan Havick and Eli Snowbarger. Listed below are the committee members.

CDS Committee

Richard Foster, CFE • Chair

- Rae Adams
- Anjanette Briggs, CFE
- Lori Brock, CFE
- Joanne Campanelli, CFE
- Lindsey Crawford, CFE
- Vivien Fan, CFE
- Mat Holdt
- Charles Kreske, CFE
- Glenn Legault, CFE
- James (Jim) Morris, CFE
- Liz Nielson, CFE
- Robin Roberts, CFE
- Alison Schleit, CFE
- Phil Schmoyer, CFE, AES
- Keilei Yambaw, AFE

CDS Program Committee

Ryan Havick, CFE • Co-chair

- Eli Snowbarger, CFE • Co-chair**
- Jenny Jeffers, AES • IT Chair
- Joanne Campanelli, CFE
- James Charles, CFE
- Rachelle Gowins, AFE
- Vitaliy Kyryk, CFE
- Jan Moenck, CFE
- Craig Moore, CFE
- James (Jim) Morris, CFE
- Liz Nielson, CFE
- Robin Roberts, CFE
- Colette Sawyer, CFE
- Phil Schmoyer, CFE, AES

INSIDE

- ◆ CDS Committee Corner: CDS Recap
- ◆ Livestream Recordings from CDS
- ◆ SOFE CDS Awards
- ◆ Photos from SOFE CDS in Memphis
- ◆ 2019 CDS Sponsors Special Thank You
- ◆ President’s Corner
- ◆ 2019-2020 SOFE Executive Committee
- ◆ 2019-2020 SOFE Board of Governors
- ◆ New Members and Designees
- ◆ In Memoriam
- ◆ Mark Your Calendar





CDS Committee Corner: CDS Recap

2019 CDS took place in Memphis, TN and The Peabody Hotel.

- ☑ More than 500 examiners attended, both members and non-members of SOFE.
- ☑ 72 speakers shared their industry knowledge and expertise.
- ☑ 55 sessions were available to attend.
- ☑ 25.5 continuing education credits were available to each attendee.
- ☑ SOFE also offered an optional, extended IT Session for an additional 8 IT credits.
- ☑ Over 90% of attendees downloaded and enjoyed the benefits of the SOFE CDS App.
- ☑ Over \$2,500 was raised for the Dorothy Day House, this year's CDS Sponsored Charity!
- ☑ Attendees got to experience the mansion and museums of Graceland along with a buffet dinner at the Sunday Social.

Headquarters is still receiving rave reviews from this conference!

Livestream Recordings from CDS

SOFE is committed to providing more opportunities for education and training to all members. There are currently two sessions from this year's Career Development Seminar that are available to be viewed and receive CRE credits.

To view the seminars, use the links in the Member's Only Area. To receive credit for the session, you must take the corresponding quiz from SOFE's testing site (located in the Webinar folder). Please note: you **MUST** enter in all CREs received from SOFE tests or quizzes using the certificate that is provided. All CREs from CDS Sessions have been uploaded onto your SOFE account.



Mark Murphy presenting to **Jim Kattman** for service on the Executive Committee from 2009-2019.

SOFE CDS AWARDS



Joanne Smith, Chair of the Publications Committee presents the 2019 Editor's Choice Award to **Alex Quasnitschka** (pictured) and **William Michael** for their contributions to the Society's Publications.



Tarik Subbagh presenting the Founders Award to **Jonathan Yee** for dedication and service to the Society.



Jan Moenck, Chair of the Examinations Committee, presents the William McCune Award to **Andrew Short** for excellence in the CFE Test Series in 2018.



Shawn Frederick presents the Don Fritz Award to **Lindsay Crawford** for outstanding service as a State Chair.



Justin Schrader, upcoming president of SOFE, presents the President's plaque to outgoing President, **Mark Murphy**.



Photos from SOFE CDS in Memphis



2019 CDS Sponsors Special Thanks

PLATINUM

Baker Tilly Virchow Krause, LLP
 Carr, Riggs & Ingram, LLC
 Eide Bailly LLP
 Examination Resources, LLC
 Johnson Lambert LLP
 Noble Consulting Services, Inc.
 Risk & Regulatory Consulting, LLC
 The INS Companies

Gold

JP Consulting
 Merlino & Associates, Inc.

Bronze

Lewis & Ellis
 Taylor-Walker Consulting, LLC

AES SPECIAL SESSION

Eide Bailly LLP
 Johnson Lambert LLP
 Noble Consulting Services, Inc.

LAPTOP DONATIONS

Noble Consulting Services, Inc. donated the laptops used by our presenters and will continue to be used for future seminars.

The Society would like to express its appreciation to the members of the **Financial Examiners Educational Foundation** who provided scholarship funds.



The **PRESIDENT'S** Corner

As I begin my year serving as SOFE President, I want to start by expressing my gratitude to SOFE and all of its members who have invested their time and effort over the years to fulfill our mission of ensuring those tasked with the responsibility of insurance regulation are qualified and held to a strict code of professional standards. I am proud and eager to do my part serving as your President for the 2019-2020 year.

By way of introduction for those who do not know me, I am the Chief Financial Examiner of the Nebraska Department of Insurance and have been actively involved in insurance regulation for nearly 20 years. I have had the honor of serving in multiple capacities of SOFE's governance since I was elected to the Board of Governors in 2012 and the Executive Committee in 2015. I have served as the Vice President of the Nominations Committee, Finance Committee, and most recently, the Management Committee, as well as serving as a member on a number of other committees including the Membership, Future Sites, CDS Programs, and CDS Committees. I also served as the State Chair for Nebraska from 2011 to 2018.

SOFE continues to explore ways we can expand our value added services to members, including partnering with the NAIC for the 2019 New Financial Regulator Training with plans to continue this partnership going forward. We are also exploring additional ways to utilize technology to broaden the availability of education and development.

SOFE recently held our annual Career Development Seminar in Memphis, TN and was able to live stream two of our sessions for members who could not attend. This is the second year we have made this available and the sessions continue to be available for viewing in the member's only section of our website. I would like to thank the chairs of the CDS Committee and the CDS Program Committee for their hard work and dedication for making this year's CDS a success. Ryan Havick, Richard Foster, Eli Snowbarger, and Jenny Jeffers, thank you and your committee members, for not only providing CDS attendees an opportunity to earn continuing education credits, but also for an opportunity to network with our fellow regulators.

Most, if not all of this, would not be possible without the Society's cooperative, passionate, and dedicated management firm, Meeting Services, Inc. (MSI). SOFE was fortunate to partner with MSI since 2016 after two decades of MSI assisting with meeting planning for our CDS. I trust that Cindy Dodson, Rhenda Davis, Katie Hess and their support team have and will continue to be responsive and professional to the needs of the Society.

We are currently forming the Committees for the 2019-20 year. I hope all of you will consider and take advantage of this opportunity to volunteer in and assist the Society in our commitment to meeting the needs of SOFE members and the insurance industry. This is also a good opportunity to develop relationships with other insurance regulators as well as personal development.

Next year's CDS will be in Orlando, FL at the Walt Disney World Swan Hotel from July 6-9. These dates, just after Independence Day, allow SOFE members a unique opportunity to take advantage of the group hotel rate and experience Walt Disney World for the holiday weekend before the CDS or stay the following weekend. I look forward to seeing you all there and having another great CDS full of collaborations and education. But we have a lot of work to do before then.

If any of you would like to directly communicate with me via e-mail, my address is justin.schrader@nebraska.gov. Thank you all again for the opportunity to serve as your President.

Justin Schrader, CFE 



2019-2020 SOFE Executive Committee



From Left-Right

Bryant Cummings, CFE | State Chapters

Ryan Havick, CFE | Future Sites, CDS Program

Jan Moenck, CFE | Examinations

Lindsay Crawford, CFE | CDS Program

Tarik Subbagh, CFE | Secretary

Joanne Campanelli, CFE | Treasurer

Bill O'Connell, CFE | Membership

Justin Schrader, CFE | President

Mark Murphy, CFE | Professional Standards

Eli Snowbarger, CFE | Special Projects

Barry Armstrong, CFE | Education

Shawn Frederick, CFE | Nominations

Joanne Smith, CFE | Publications

Richard Foster, CFE | CDS

2019-2020 SOFE Board of Governors



Top Row: Rick Nelson, Bryant Cummings, Jim Kattman

Fourth Row: Glenn LeGault, Mark Ossi, Mario Cuellar, John Humphries, Ignatius Wheeler, Doug Slape, Susan Bernard

Third Row: Malis Rasmussen, Michael Morrissey, Stacey Alden, Shannon Hopkins, Tian Xiao, Robin Roberts, Robin Brown, Stuart Guerin, Annette Knief, Monique Smith

Second Row: Rachele Gowins, Diane Carter, Chase Davis, Matthew Milford, Don Catmull, Barry Armstrong, Mel Heaps, Bill O'Connell

First Row: Joanne Smith, Ryan Havick, Lindsay Crawford, Tarik Subbagh, Joanne Campanelli, Mark Murphy, Justin Schrader, Eli Snowbarger, Jan Moenck, Shawn Frederick, Richard Foster



New Members and Designees

Welcome to the new SOFE members who were approved by the Executive Committee since our last publication of InSIGHT:

Welcome New Members!

GENERAL MEMBERS

Amy Alves, MA	Matthew Jones, NC
Alan Argueta, TX	Robert Kelly, DE
Jennifer Beaudry, RI	Leonel Melchor, TX
Laura Bryan, TX	Judy Miranda, TX
Zaire Burns-Olive, VI	Doug Munro, TX
Cheryl Charleswell, VI	Katherine Ortiz, RI
Andres Chun, TX	Akeel Rehman, RI
Bonnie Corrigan, VA	Samantha Roberts, RI
Juliette Daniel, VI	Dennis Schaefer, NY
Travis Dilley, OH	Brandon Steckler, ND
Martin Emanuel, VI	Christopher Taylor, GA
Jamie Hayes, RI	Kimberly Waller, MO
Rajdeep Jogi, GA	James Whitley, TX

Congratulations to the following members who were granted SOFE designations by the Executive Committee since our last publication of InSIGHT:

Congratulations to our New Designees!

CFE DESIGNEES

Santosh Ghimire, NE
 Pyongyong Landoll, IN
 Griselda Lopez, TX
 Natasha Robinson, UT
 Tamara Stowers, TX

AFE DESIGNEES

James William Davis, SC
 Benjamin MacKercher, VA



In Memoriam

WADE LINEBERGER, CFE

AUGUST 11, 2019

An active member of SOFE, and a dedicated financial examiner with both the South Carolina Department of Insurance and the New Hampshire Insurance Department.

Rest in Peace.

SOFE partnered with the NAIC this past June in holding the New Financial Regulator Training in Kansas City.



Mark Your Calendar

Upcoming SOFE Career Development Seminars

Details as they are available at: www.sofe.org



2020 July 6–9

Orlando, Florida

Walt Disney World Swan Hotel



2021 July 18–21

Scottsdale, AZ

Westin Kierland



2022 July 24–27

Pittsburgh, PA

Omni William Penn



Examiner[®]

Authors Wanted

The Publications Committee is looking for members to write articles for the quarterly *Examiner* magazine. **Authors will receive six Continuing Regulatory Credits (CRE) for each technical article selected for publication.**

Interested authors should contact the Publications Committee Chair via sofe@sofe.org

SOFE Headquarters

3505 Vernon Woods Drive,
Summerfield, NC 27358.

Our hours of operation are
Monday-Friday 8:30am to
5:00pm EST.

Phone No. 336-365-4640