



SOFE

Society of Financial Examiners

INSIGHT

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CDS 2017 Highlights

The 2017 “**Solvency in the Sun**” SOFE CDS which took place at the JW Marriott in Marco Island, FL was a great success. CDS attendance was over 500! Attendees thoroughly enjoyed the Sunday Night Beach Social and have rated this event as one of the best yet for education and networking.

Many thanks for the success of this event go to the CDS sponsors, listed on page 2 and to the CDS Committee Chaired by **Eli Snowbarger** and the CDS Program Committee Co-chaired by **Annette Knief** and **Mark Murphy**. Listed below are the committee members.

CDS Committee

Lindsay Bates
Bryant Cummings
Jim Morris
Phil Talerico
Alea Talbert-Pence
Joanne Smith

CDS Program Committee

Darin Benck
Lew Bivona
Joanne Campanelli
Bryant Cummings
Stewart Guerin
Natalie Howe
Jenny Jeffers
Jim Kattman
Fred Karlinsky
Steve Killingsworth
William Michael
Connie Moody
Jim Morris
Bill O’Connell
Eli Snowbarger
Kelly Wensing

Special thanks to **Noble Consulting Services, Inc.** for the donation of laptop computers, which will save thousands of dollars each year in equipment rental fees at SOFE CDS events.

Additionally, the Society would like to express its appreciation to the members of the **Financial Examiners Educational Foundation** who provided scholarship funds.

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SOFE Award Winners Congratulations!



Rick Nelson presented the **Founders Award** to **William Latza** in recognition of his dedication and service to the Society.



Virginia West presented the **Don Fritz Award** for outstanding service as state chair to **Tarik Subbagh**, CFE of Washington State.

Tian Xiao, Vice President of Publications presented the **Editor's Choice Award** to **Donna Galer, Kristina Narvaez** and **Max Rudolph** for their contributions to the publications of the Society over the past year.

Rick Nelson presented the **William McCune Award** for excellence in the CFE Test Series in 2016 to **Patrick Tess** of the Michigan Dept. Of Insurance and Financial Services.



2017 CDS Sponsors Special Thanks

Platinum Level Sponsors

Baker Tilly LLP
Eide Bailly LLP
Examination Resources, LLC
Noble Consulting Services, Inc.
Risk & Regulatory Consulting, LLC
The INS Companies

Gold Level Sponsors

Carr, Riggs & Ingram, LLC
JP Consulting Investment Advisors
Merlinos & Associates

Silver Level Sponsor

Johnson Lambert LLP

Bronze Level Sponsor

Taylor-Walker Consulting, LLC

General Level Sponsor

Dixon Hughes Goodman LLP

AES Session Sponsors

The INS Companies
Johnson Lambert LLP
Noble Consulting Services, Inc.

Laptop Donations

Noble Consulting Services, Inc. donated the laptops used by our presenters and will be used for future seminars.

Special Thanks to the Financial Examiner Educational Foundation for the 2017 CDS Scholarships.



Board of Governors and Executive Committee Transitions

Retiring Executive Committee Members



Jim Kattman presented **Annette Knief** award for service on the executive committee 2011-17



Jim Kattman presented **Virginia West** award for service on the executive committee 2012-17

New Executive Committee Member



Barry Armstrong



Retiring Board of Governors Members

- Eric Dercher
- Bill Harrington
- Fred Heese
- Larry Lindberg
- Robin Roberts
- Michael Shadowens
- Virginia West

2017-18 Board of Governors

Election

Board of Governors election results:

	<u>Term</u>
Robin Brown	2022
Ryan Havick	2022
Adam Jackson	2022
Jim Kattman	2022
Annette Knief	2022
Michael Morrissey	2022
Mark Murphy	2022
Sean O'Donnell	2022
Justin Schrader	2022



Present for photo:

Front Row (L-R) Mark Murphy, Barry Armstrong, Jenny Jeffers, Joanne Campanelli, Jan Moenck, Jim Kattman and Tarik Subbagh

Second Row Sean O'Donnell, Rick Nelson, Lindsay Crawford, Jean Adams-Harris, Justin Schrader and Tian Xiao

Third Row Diane Carter, Mark Ossi, Chase Davis, Robin Brown, Annette Knief, Don Catmul and Ignatius Wheeler

Back Row Colette Hogan-Sawyer, Doug Slape, Omar Akel, Richard Foster, Bill O'Connell, Michael Morrissey, Stewart Guerin, Ber Vang, Kyo Chu, Shawn Fredricks, Glenn Legault, Joanne Smith and Monique Smith



CDS CRE Certificates

All CDS CRE's and Attendance Certificates are completed and loaded onto the website for those who scanned into the sessions! Just log into your account on the SOFE website to review your credits earned at the 2017 CDS in Marco Island. Just follow the link to print your attendance certificate! (See page 6 for more detailed info.)

Designations Awarded

Congratulations to the following members who were granted SOFE designations by the executive committee following our last publication of InSIGHT.

AFE Designees

Thomas Adema – MI
Garrick Dodson – TX
Arthur Etherly – AR
Jeannie Hietpas – TX
Ayo Jordon-Jones – TX
Hai Le – MO
Kari Leonard – MT
Jesse Lussier – VT
James McCarthy – MA
Thomas Mitchell – MI
Daniel Morris – SC
Samuel O'Donoghue – TX
Scott Reeves – MO
Sean Scruggs – MS
Luke Stavenau – FL
Kimmea Stevenson – NE
Philip Talerico – MD
Nathan Turner – SC
Patrick Wicker – NC
Wai Wong – NY

AES Designees

Stephan Obereichholz-Bangert - IN

CFE Designees

Steven Carlson – DE
Judy Chen – CO
Michael Estabrook – CT
Jason Freedman – ME
Joseph Hofmeister – NE
Charles Kreske – WA
Fenhua Liu – CT
Jeffrey Maher – AR
Robert McLaughlin – WI
Michelle Orren – NJ
Scott Reeves – MO
Robert Rudnai – TX
Shane Sadler – UT
Beth Short – DE
Kristen VanHamlin – MI
Mark Wiedeman – UT
Colin Wilkins – NH
Margaret Woodsmall – AR
Natalie Yue – TX

2018 Online Membership Renewal

- Begins in October
- Be sure to renew your SOFE membership on time!

SOFE 2018 dues are conveniently paid online at www.sofe.org in the "Members Only Section" after your login.

Once you have submitted your payment information, print your receipt for your records or to use for reimbursement purposes.

If you have forgotten your username or password information, simply follow the instructions at the Member login area.

2018 DUES ARE DUE NO LATER THAN JANUARY 31, 2018.

DID YOU KNOW...

Why SOFE was Originally Established

The Society of Financial Examiners was established in 1973 to institute a strict code of professional standards for members engaged in the examination of financial institutions, to promote uniform ethical standards that will engender employer and public confidence to the degree that those interested can identify professionally qualified practitioners, and to promote and enforce minimum requirement of conduct, training, and expertise for members engaged in financial examination.



New Management Company

It is our pleasure to introduce the new management team for SOFE. Meeting Services, Inc. has had the pleasure of working with SOFE on the CDS for the past 18 years and welcomes the opportunity to work with us on the management of our organization.



From left to right front row:
Debbie Wilborn, Megan Smith, Cindy Dodson, Rhenda Davis and Robin Covington
Top row: Bob Schuster



Robin Covington, PMP, will serve as your Executive Director. She has extensive experience in project management and working with both corporations and associations. Robin will be responsible for the overall operations of the headquarters and work flow of MSI staff.



Rhenda Davis will serve as Membership Director. She has been a team member with MSI for the past nine years. Rhenda has worked closely with our Association Management clients and looks forward to serving your membership needs.



Megan Smith will serve as a part-time Administrative Assistant to SOFE. She has worked with SOFE for the past five years on the CDS. In her role with the management of SOFE, she will be assisting Robin and Rhenda with the daily operations.

Our office is located at
3505 Vernon Woods Drive,
Summerfield, NC 27358.

Our hours of operation are
Monday-Friday 8:30 am to
5:00pm EST.

Phone No. 336-365-4640

We are excited about the
upgrades to the website
and CRE reporting.

Please visit the website
and update your profile
information.

*We look forward to
working with you.*



Members Area Website News

We've added many new features to the website since August 1. Take a look and let us know what you think!

Member's Directory

We've brought back the member directory. Try your name and confirm we have the correct information. If not, please update your profile.

View CRE Credits

Now you have the ability to change the start year and the end year to show credits you earned by year or a range of years. You can also view CRE's by "All Types" or "Training Provider" and either show or not show your carry over credits.

You can print your certificate by selecting "Print Certificate" in red on the far right in the Credit's Earned box.

If you mess up entering a CRE or you have a duplicate, you can use the red "X" to delete that entry.

Report CRE Credits

The NASBA Sponsor ID and look up have been moved to make it easier for you to find.

Update Profile

Just a reminder to check the contact information on the Update Profile tab and the Update Billing Information tab to ensure we have your correct information.

The screenshot shows the SOFE website's Members Area. At the top, there's a navigation bar with links for ABOUT US, MEMBERSHIP, EDUCATION, CERTIFICATION, EMPLOYMENT, RESOURCES, and CONTACT. Below this is a sidebar for 'MEMBERS ONLY AREA' with links like Member's Directory, Billing & Fees, View CRE Credits, Report CRE Credits, Update Profile, Update Billing Information, Update Username/Password, and Logout. The main content area is titled 'Members Area (Robin Covington #1)' and lists 'Publications' (Examiner, HIGBT, 2017 NAIC Handbook Training Webinars, Recorded Presentation on SOFE Code of Ethical Conduct, Seminar at Fall 2016 NAIC Meeting), 'Awards Nominations - closed', and 'Files' (Executive Committee, Executive Committee Reports, 2011 Career Development Seminar Presentations, 2015 CDS Presentations, 2016 CDS Presentations, 2017 CDS Presentations, Board of Governors, Working Minutes, Leadership Manual, Policies and Procedures, Bylaws and Articles of Incorporation, CPE Reading Program, CRE Providers, CRE Reporting Guide, SOFE Hosting Site Link).

Member's Directory

Search Members

Last Name: City: State: Email:

View CRE Credits

Advanced Search

Start Year: End Year: Course Name: All Types:

All Training Providers: Show Carryover Credits?

CRE ID	Year	Date	Course Name	Provider	Certificate	Score
61911	2017	07/26/2017	#17D6 Emerging Issues in the Audit Function	SOFE CDS Training	OTHER N	1.5
107588	2017	08/15/2017	#test test	Actuarial Group Inc. (AGI Services)	Ethics N	1.0

* Training Provider:

If you don't see your Training provider in the list, please check to see if they are a NASBA provider. Look up NASBA number. If NASBA provider select "NASBA" in the "Training Provider" field above.

NASBA Sponsor ID:

Still can't find your provider? Request to add the provider.

SOFE Profile Update

The information listed below is the information that is stored in the SOFE membership database. You are encouraged to review the information below for accuracy. If you find omitted or incorrect information, please modify the form below as needed. When done, please click on the Save button. The SOFE membership database will be updated. If you have difficulty with this screen, feel free to contact us at 336-365-6540 or rlhend@sofe.org.

Go [HERE](#) to view your CRE credits.

Note: * indicates a required field.

Billing Information

* Company/Organization:

* Address:

Website:

* City:

* Country:

* Business Address:

* Zip/Postal Code:



State Chapter News

SOFE depends on the participation of State Chairs to keep communications current between the organization and the members. The purpose of a local state chapter is to bring the Society closer to the individual member by meeting their specific needs, promote and increase membership, assist in the future growth of the Society, and facilitate local training and certification testing. We are currently looking for members to serve as the State Chair in the following states: Arizona, Colorado, New Jersey and Pennsylvania. If you are interested in serving the Society as a State Chair please contact:

Virginia West, VP State Chapters at
virginia.west@iid.iowa.gov or

Ignatius Wheeler at Ignatius.wheeler@tdi.texas.gov



FEEF Rebranding of Scholarship Morty Mann

In Memorial - Morton L. Mann



The Society of Financial Examiners (SOFE) would like to express our sincere condolences to the family of Morton L. Mann, a long-time Director and Treasurer of the Financial Examiners Educational Foundation (FEEF), who passed away on September 28, 2016. Morty was a Senior

Advisor of Statutory Reporting for the Bowne Insurance Services and a long time support of SOFE. Morty was a past President of the Society of Insurance Financial Management, a past member of the Board of Directors of the Insurance Accounting and Systems Association, and a past President of the Mid-Atlantic Chapter of the IASA. He served as the Treasurer of FEEF for several decades and was an invaluable resource to the Foundation. Due in part to Morty's support, the SOFE Career Development Seminar Scholarship Program was created and with the financial support of the Foundation, has helped to provide funding to State Insurance Regulators for attendance at the annual SOFE CDS for many years.

Earlier this year, the SOFE Executive Committee, in cooperation with FEEF, voted to formally establish and rename the Career Development Scholarship Program to the Morty L. Mann Memorial CDS Scholarship Program in his honor.



Mark Your Calendars Upcoming SOFE Career Development Seminars

Details as they are available at: www.sofe.org



2018 July 15–18
Indian Wells, California
Hyatt Regency Indian Wells



2019 July 21–24
Memphis, Tennessee
The Peabody Memphis



2020 July 19–22
Orlando, Florida
Walt Disney World Swan Hotel



2021 July 18–21
Scottsdale, AZ
Westin Kierland



Examiner[®]

Authors Wanted

The Publications Committee is looking for members to write articles for the quarterly *Examiner* magazine. **Authors will receive six Continuing Regulatory Credits (CRE) for each technical article selected for publication.**

Interested authors should contact the Publications Committee Chair, **Tian Xiao**, via sofe@sofe.org