



**SOFE**

**Society of Financial Examiners**

# INSIGHT

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The 2018 **"An Oasis for Regulation"** SOFE CDS which took place at the Hyatt Regency Indian Wells in CA was a great success. CDS attendance was over 500! Attendees thoroughly enjoyed the Sunday Night Social at the Indian Wells Golf Resort.

Many thanks for the success of this event go to the CDS sponsors, listed on page 2 and to the CDS Committee Chaired by **Eli Snowbarger** and the CDS Program Committee Co-chaired by **Jan Moenck** and **Colette Hogan Sawyer**. Listed below are the committee members.

#### **CDS Committee**

##### **Eli Snowbarger, CFE • Chair**

Omar Akel, CFE  
Joanne Campanelli, CFE  
Nataly Davelear-Mercelina, CFE  
Annette Knief, CFE  
Rachelle Gowins, CFE  
Glenn LeGault, CFE  
Jan Moenck, CFE  
Jim Morris, CFE  
Tony Quach, CFE  
Carol Riley, AES  
Robin Roberts, CFE  
Shane Sadler, CFE  
Colette Hogan Sawyer, CFE  
Justin Schrader, CFE

#### **CDS Program Committee**

##### **Jan Moenck, CFE • Co-chair**

##### **Colette Hogan Sawyer, CFE • Co-chair**

Omar Akel, CFE  
Lewis Bivona, AFE  
Joanne Campanelli, CFE  
Nataly Davelaar-Merclina, CFE  
Stewart Guerin, CFE  
Jenny Jeffers, AES  
Jim Kattman, CFE  
Annette Knief, CFE  
James Morris, CFE  
Mark Murphy, CFE  
Tony Quach, CFE  
Scott Reeves, CFE  
Justin Schrader, CFE  
Monique Smith, CFE  
Eli Snowbarger, CFE  
Keilei Yambaw, AFE

Special thanks to **Noble Consulting Services, Inc.** for the donation of laptop computers, which will save thousands of dollars each year in equipment rental fees at SOFE CDS events.

Additionally, the Society would like to express its appreciation to the members of the **Financial Examiners Educational Foundation** who provided scholarship funds.

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## SOFE Award Winners Congratulations!



**Ignatius Wheeler** presented the **Don Fritz Award** for outstanding service as state chair to **Susan Bernard**, CFE of California State.

**Susan Campbell: Founders Award** in recognition of dedication and service to the society

**Neal Foster: Editor's Choice Award** for contribution to the publications of the society

**Matthew Bjorkman: William McCune Award** for highest achievement in the CFE Test Series-2017



I would like to start my first communication to all of you by saying how humbled I am to serve as your President for the 2018-19 year. As a member of the Society, I have been actively involved in SOFE's governance since I was elected to the Board of Governors and the Executive Committee in 2012. Since that time, I have served as the Vice President of the Membership Committee, the CDS Program Committee, Finance Committee and finally the Management Committee. SOFE has successfully transitioned the function of its management to Meeting Services, Inc. over the past year and I am looking forward to ensuring a first-class experience for all of you. I trust that you are finding Cindy, Rhenda and Katie responsive to your inquiries in a professional and efficient manner. With consistency in the management of our Society we can now focus on improving the membership experience, including keeping our examinations current and increasing training opportunities for members. This includes live streaming of two of our sessions from this year's CDS, which may be found in the member's only section of our website.

We are currently forming the Committees for the 2018-19 year and I hope that you are taking advantage of our call for volunteers so that you may actively participate in helping to serve the needs of the Society. I have found that engagement with SOFE by serving on a committee has added to my personal and professional development and I encourage you to explore ways to get involved in the Society as well.

I would also like to thank the chairs of the CDS Committee and the CDS Program Committee for their hard work and dedication for making this year's CDS a success. Eli, Colette, Jan and Jenny, thank you and your Committee members for not only providing attendees an opportunity to earn continuing education credits, but also for an opportunity to network with our fellow regulators. I would also like to thank California State Chair, Susan Bernard for arranging to have 72 regulators from California attend CDS in Indian Wells.

If any of you would like to directly communicate with me via e-mail, my address is [mark.murphy@sofe.org](mailto:mark.murphy@sofe.org). Thank you all again for the opportunity to serve as your President.

Mark

## 2018 CDS Sponsors Special Thanks

### Platinum Level Sponsors

Baker Tilly LLP  
Eide Bailly LLP  
Noble Consulting Services, Inc.  
Risk & Regulatory Consulting, LLC  
The INS Companies

### Gold Level Sponsors

Carr, Riggs & Ingram, LLC  
Examination Resources, LLC  
Johnson Lambert LLP  
Merlinos & Associates

### Bronze Level Sponsor

Taylor-Walker Consulting, LLC

### AES Session Sponsors

Eide Bailly LLP  
Johnson Lambert LLP  
Noble Consulting Services, Inc.

### Laptop Donations

Noble Consulting Services, Inc. donated the laptops used by our presenters and will be used for future seminars.

### Special Thanks to the Financial Examiner Educational Foundation for the 2018 CDS Scholarships.



## Board of Governors and Executive Committee Transitions

### Election

#### **Board of Governors Election Results:**

#### **Term 2018-2023**

Joanne Campanelli  
Mario Cuellar  
Rachelle Gowins  
Stewart Guerin  
William Harrington  
John Humphries  
Malis Rasmussen  
Doug Slape  
Monique Smith  
Tian Xiao

#### **New Executive Committee Members**

Richard Foster, CFE  
Bill O'Connell, CFE  
Shawn Frederick, CFE  
Joanne Smith, CFE

### Retiring Executive Committee Members

Rick Nelson, CFE  
Colette Hogan Sawyer, CFE  
Tian Xiao, CFE

*Jim Kattman* presented service awards to Rick Nelson, Colette Hogan Sawyer & Tian Xiao (L to R) for their years of service on the Executive Committee of the Board of Governors.



### Retiring Board of Governors Members

Rick Nelson  
Colette Hogan Sawyer  
James Williams

## Committees & Chairs

Automated Examination Specialist Committee Chair | Jenny Jeffers

Education Committee Chair | Barry Armstrong

Examinations Committee Chairs | Jan Moenck & Tarik Subbagh

Membership Chair | Bill O'Connell

Nominations Chair | Joanne Campanelli

Professional Standards Chair | Jim Kattman

CDS Chair | Richard Foster

CDS Program Chairs | Ryan Havick & Eli Snowbarger

Future Sites Chair | Ryan Havick

Publications Chair | Joanne Smith

There is still an opportunity to invest your time and insight to ensure the continued success of SOFE—*your professional society*. No travel is required to serve on these committees, as most of the work is done via e-mail and teleconference. The SOFE vice president contact for the committee determines which volunteers will serve on their committee. Please contact the vice president chairing the committee or [Katie@sofe.org](mailto:Katie@sofe.org) to volunteer for the committee in which you are interested.





## 2018-19 Executive Committee Members



**Top Row** (L to R): Barry Armstrong, Ryan Havick, Shawn Frederick, Joanne Smith, Richard Foster, Jan Moenck, Bill O'Connell

**Bottom Row** (L to R): Jenny Jeffers, Joanne Campanelli, Jim Kattman, Mark Murphy, Justin Schrader, Tarik Subbagh, Eli Snowbarger

## 2018-19 Board of Governors



**1<sup>st</sup> Row:** Joanne Smith, Barry Armstrong, Jenny Jeffers, Joanne Campanelli, Jim Kattman, Mark Murphy, Justin Schrader, Tarik Subbagh, Jan Moenck, Ryan Havick, Bill O'Connell, Richard Foster

**2<sup>nd</sup> Row:** Glenn LeGault, Eli Snowbarger, Susan Bernard, Shawn Frederick, Don Catmull, Chase Davis, Bryant Cummings, Michael Morrissey, Doug Slape, John Humphries

**3<sup>rd</sup> Row:** Omar Akel, Stewart Guerin, Tian Xiao, Diane Carter, Annette Knief, Lindsey Crawford, Rachele Gowins, Jean Adams Harris, Mark Ossi, Brian Sewell, Matthew Milford, Ignatius Wheeler



## New Members and Designees

Welcome to the new SOFE members who were approved by the Executive Committee since our last publication of InSIGHT:

### Welcome New Members!

#### GENERAL MEMBERS

Daniel Clements	TN
LaTasha Davis	DC
Jacqueline Gaines	TX
Ruston Gaines	MS
Warren Hutson	CO
Shelby Lambert	IN
Jacob Lehnowsky	PA
Erika Menez de Castillo	TX
Jeffrey Myer	TX
Eduardo Rameriz	TX
Dustin Rice	TN
Christopher Schutte	IN
Dawn Terrell	NM
Kongmeng Yang	WI

Congratulations to the following members who were granted SOFE designations by the Executive Committee since our last publication of InSIGHT:

### Congratulations to our New Designees!

#### A FE DESIGNEEES

Vickey Cortez	TX
Elizabeth McGarry	PA
Michael Porter	UT
Micah Rivera	NC
Natasha Robinson	UT

#### CFE DESIGNEEES

Emily Pennington	MO
Theodore Tidwell	CO

## CDS/CRE Reminders

All CDS CRE's and Attendance Certificates are completed and loaded onto the website for those who scanned into the sessions! Just log into your account on the SOFE website to review your credits earned at the 2018 CDS in Indian Wells. Just follow the link to print your attendance certificate!

- There is still time to provide feedback from CDS! Please log in on your app and take the survey for the presenters from CDS. We value all feedback.
- The two sessions that were live-streamed from CDS this year are now on the SOFE website and are located in the Member's Area. Members are able to watch the sessions and take a quiz on our testing site to receive CRE credit. If you are in need of Ethics or IT Credits for 2018, this is a great opportunity to earn them!
- **NOTE:** All previous year's CDS Presentations will be removed from the SOFE website as of September 30, 2018. From that date on, we will only have the most recent year's presentations posted.

## Dues Increasing in October

2019 Online Membership Renewal begins in October. Be sure to renew your SOFE membership on time! SOFE 2019 dues are conveniently paid online at [www.sofe.org](http://www.sofe.org) in the "Members Only Section" after your login. Once you have submitted your payment information, print your receipt for your records or to use for reimbursement purposes. If you have forgotten your username or password information, simply follow the instructions at the Member login area.

*\*Reminder: Due amounts are increasing for 2019 and are outlined below:*

Designation	General Member	A FE	CFE	AES
2019 Dues	\$75	\$150	\$150	\$150

**DUES ARE DUE NO LATER THAN JANUARY 31, 2019.**



## Mark Your Calendars

### Upcoming SOFE Career Development Seminars

Details as they are available at: [www.sofe.org](http://www.sofe.org)



**2019 July 21–24**

Memphis, Tennessee  
The Peabody Memphis



**2020 July 19–22**

Orlando, Florida  
Walt Disney World Swan Hotel



**2021 July 18–21**

Scottsdale, AZ  
Westin Kierland



**Examiner**<sup>®</sup>

### Authors Wanted

The Publications Committee is looking for members to write articles for the quarterly *Examiner* magazine. **Authors will receive six Continuing Regulatory Credits (CRE) for each technical article selected for publication.**

Interested authors should contact the Publications Committee Chair via [sofe@sofe.org](mailto:sofe@sofe.org)

### SOFE Headquarters

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