



**SOFE**

**Society of Financial Examiners**

# INSIGHT

**INSIDE**

## **2012 Membership Dues Now Paid Conveniently Online**

**It's time to renew your SOFE membership! SOFE 2012 dues are conveniently paid online at [www.sofe.org](http://www.sofe.org).**

**It's as simple as 1-2-3!**

1. Log into the Members Only area link at the upper right tab of the SOFE website.
2. Then click on "Renew Your Dues".
3. Once you have submitted your payment information, print your receipt for your records or to use for reimbursement purposes.

If you have forgotten your username and password information, follow the instructions at the Member login area. Please be sure to safe-list (and/or add to your contacts) [sofewebmaster@sofe.org](mailto:sofewebmaster@sofe.org) in order to receive a reminder of your login information. Do not create a new account, as that will not access your correct record.

2012 Membership Dues payment information was emailed in October. If you missed your announcement, safe-list (and/or add to your contacts) [sofe@sofe.org](mailto:sofe@sofe.org) and [sofewebmaster@sofe.org](mailto:sofewebmaster@sofe.org) in order to receive SOFE updates, as well as your online transaction information.

If paying by check, login to the SOFE website to see your dues amount and then remit a check with full payment to SOFE, C/o Wells Fargo Bank, Lockbox #759265, Baltimore, MD 21275-9265. To receive correct credit for payment, you must submit with your payment: a clear record of your name, your member number, your email address, and note that the payment is for "SOFE 2012 Dues".

**NOTE—Dues for 2012 must be paid in full by January, 2012.**

- ◆ CRE Reporting
- ◆ CRE Audits
- ◆ Thank You from SOFE
- ◆ New Administrator
- ◆ Designations Awarded
- ◆ New Members
- ◆ Upcoming CDS Events





### CRE REPORTING FORMS DUE

Please be reminded that the 2011 CRE Reporting Forms are due on March 31st, 2012. There is a \$25 late fee that will be applied to those received after March 31st. Failure to file the CRE Reporting Form on time may result in suspension of your designation. More information and the CRE Reporting Form can be found on the "Forms" landing page at: <http://sofe.org/forms/index.cfm> under the heading: "Continuing Regulatory Education." If you have any questions, contact the SOFE staff at [sofe@sofe.org](mailto:sofe@sofe.org).

### CRE AUDITS

All members with a designation have the possibility of being selected for an audit of your 2011 CRE

Reporting Form. In 2010, the SOFE Executive Committee approved a new procedure for performing CRE audits as follows: A random audit of 5% of all general members CRE Reports will be performed annually. A 100% audit of new Board of Governors' CRE Reports will be performed their first year in office, and a random sample of 20% of existing Board of Governors' CRE Reports will be performed each year. Questionable and incomplete CRE reporting forms will be audited at 100%. If you have any questions, contact the SOFE staff at [sofe@sofe.org](mailto:sofe@sofe.org).

### Thanks to SOFE Breakfast Symposium Sponsors

SOFE thanks Noble Consulting Services, Inc. and Stroock & Stroock & Lavan, LLP for sponsoring the November 4, 2011, SOFE Breakfast Symposium at the NAIC Fall meeting in Maryland. Attendees at the Symposium received an insightful presentation by panelists Mike Moriarty of Stroock & Stroock & Lavan LLP, and Joseph Prakash of Noble Consulting Services, Inc. The session addressed NAIC agenda items relevant to insurers' investment activities, including NAIC designations for mortgage-backed and other structured securities, Solvency II on investments, the potential impact of Dodd-Frank, current state of the markets, and investments-related lessons learned from examinations conducted in 2010 and 2011.

2012 SOFE Breakfast Symposia dates and topics will be posted at [http://sofe.org/education/breakfast\\_series.cfm](http://sofe.org/education/breakfast_series.cfm) as details are confirmed

### New Administrator

DMG is pleased to announce that Judy Estus joined the DMG staff in November and assumed the role of SOFE Administrator. Judy comes to DMG with over 35 years of experience in the association world, having served in association accounting, membership and office management positions. In addition to her member services, financial and operational skills, Judy's depth of experience with iMIS database management software will be a great asset to the daily operations of SOFE.





The following new members and designees were approved at the October 20, 2011 Executive Committee meeting, and later via email voting prior to November 28.

### **Congratulations to New Designees:**

#### CFE DESIGNATIONS

**Randy Fong** – WA

**Andrea Szwanek** – NE

**Michael Young** – FL

#### AFE DESIGNATIONS

**Jenny Divver** – MD

**Mark Griggs** – MO

**Barry Lupus** – MD

**James Morris** – MD

**Fatima Mohamed** – MD

**Noah Nelson** – NE

**Scott Rennick** – MO

### **Welcome New Members!**

#### GENERAL MEMBERS

**Ashley Billinger** – MO

**James Gowins** – TX

**Connie Hare** – FL

**Brad Harwell** – IA

**Lee Hoepfner** – IN

**Adam Jackson** – IA

**Thomas Masterson** – NY

**Alex Matovu** – IA

**Heather Minear** – IA

**John Mutyaba** – MN

**Quiana Rollins** – TX

**Sherry Yu** – IA

**Zachary Wanzek** – ND





## Upcoming CDS Events

### MARK YOUR CALENDARS

Upcoming SOFE Career Development Seminars



**2012**

**July 29-August 1, 2012 | Dallas, TX**

Omni Mandalay Hotel at Las Colinas  
Registration opens in April at [www.sofe.org](http://www.sofe.org).



**2013**

**July 21-24, 2013 | Henderson Lake, NV**

Red Rock Resort



**2014**

**July 27-30, 2014 | Philadelphia, PA**

Loews Philadelphia Hotel

#### AUTHORS WANTED

The Publications Committee is looking for members to write articles for the quarterly Examiner magazine. Authors will receive six Continuing Regulatory Credits (CRE) for each technical article selected for publication.

Interested authors should contact the Publications Committee Chair, **Jenny Jeffers**, via [sofe@sofe.org](mailto:sofe@sofe.org).

