



SOFE

Society of Financial Examiners

# INSIGHT

## CONGRATULATIONS to This Year's Award Recipients



SOFE Publications VP **Tian Xiao, CFE** presents to **Darin Benck, CFE** of Risk and Regulatory Consulting, the Editor's Choice Award for contributions to the publications of the Society over the past year.



**Kelly Wensing** of Noble Consulting Services receives the 2016 Founders Award in recognition of her dedication and service to the Society, from **Rick Nelson, CFE**, VP of Management, and now SOFE President.



VP of State Chapters, Virginia West, **CFE** presents to **Bryant Cummings, CFE**, Tennessee State Chair, the 2016 Don Fritz Award for outstanding service as a state chair.



**Laura Church, CFE**, of the Missouri Dept. Of Insurance, receives the William McCune Award for highest achievement in the CFE Test Series in the past year from **Annette Knief, CFE**, SOFE 2015-16 President.

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## 2016 CDS Wrap Up

2016 SOFE CDS in Indianapolis, Indiana was a great success, with record turnout. Attendees gave high marks to the educational sessions, and enjoyed the Sunday Social at the Indianapolis Motor Speedway, as well as the opportunities to network and visit with colleagues and friends.

SOFE continued its annual tradition of giving back to the CDS local community. Attendee contributions matched by SOFE resulted in a donation of over \$1,450 to Second Helpings which provides critical services and support to the local economically disadvantaged population.

Many thanks for the success of this event go to the **CDS sponsors**, and to the **CDS Committee** chaired by **Eli Snowbarger** — Lindsay Crawford, Bryant Cummings, Jim Kattman, Glenn Legault, Connie Moody, Jim Morris, Mark Murphy, and Leane Rafalko; and the **CDS Program Committee** chaired by **Mark Murphy** and **Jim Kattman** — Lew Bivona, Joanne Campanelli, Bryant Cummings, Natalie Davis,

Stewart Guerin, Jenny Jeffers, Fred Karlinksy, Steve Killingsworth, Annette Knief, Jan Moenck, Connie Moody, James Morris, Rick Nelson, Phil Schmoyer, Eli Snowbarger, and Kelly Wensing.

Special thanks also go to Kelly Wensing of Noble Consulting Services, Inc. for her tireless work in collecting and assembling the CDS speaker presentation files.

SOFE also thanks **Commissioner David Mattax** of the Texas Department of Insurance, **Commissioner Ted Nickel** of the Wisconsin Office of the Commissioner of Insurance, and **Commissioner Ken Selzer** of the Kansas Department of Insurance, for their participation in the 2016 Commissioners Roundtable.

The Society would also like to express its appreciation to the **Financial Examiners Educational Foundation** which provided scholarship funds along with SOFE's to allow 37 state regulators to attend the 2016 CDS.

## Thank You 2016 CDS Sponsors

### Platinum Level Sponsors

Baker Tilly  
Eide Bailly LLP  
Examination Resources, LLC  
Noble Consulting Services, Inc.  
Risk & Regulatory Consulting, LLC  
The INS Companies

### Gold Level Sponsor

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Risk & Regulatory Consulting, LLC  
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Examination Resources, LLC  
Noble Consulting Services, Inc.  
Risk & Regulatory Consulting, LLC



## Board of Governors & Executive Committee Transitions

### Thanks to Outgoing Members of the Executive Committee and Board of Governors

SOFE thanks the following members retiring from the Board of Governors or Executive Committee in August:

#### Retiring Executive Committee Members



Retiring Executive Committee member, **Susan Bernard, CFE** (2014 -16) shown here with outgoing president, **Annette Knief, CFE**



Retiring Executive Committee member **Eric Dercher, CFE** (2009-16) receives plaque from **Annette Knief, CFE** 2015-16 president

#### Retiring Governors

**Jim Armstrong** | 1998-2016

**Lynn Beckner** | 2011-2016

**David Martinez** | 2011-2016

**Al Bottalico** | 2013-2016

**Thomas Gauthier** | 2011-2016

**April Spevak** | 2013-2016



Retiring Board of Governors members **April Spevak, Al Bottalico, Lynn Beckner** and **David Martinez** are pictured here with **Rick Nelson**, 2015-16 VP of Management and now president



Outgoing President **Annette Knief, CFE** with **Rick Nelson, CFE**, 2015-16 VP of Management and now president





## Newly Elected Members of the Board

Lindsay Crawford | 2021

Shawn Frederick | 2021

Jan Moenck | 2021

Leslie Nehring | 2021

Bill O'Connell | 2021

Mark Ossi | 2021

Leane Rafalko | 2021

Eli Snowbarger | 2021

Tarik Subbagh | 2021

Ber Vang | 2021

Joanne Smith | 2019

William Harrington | 2018

Rick Nelson, CFE | 2018

Jim Williams | 2018



**2016 - 2017 Board of Governors** – Present for photo were (L-R):

**1<sup>st</sup> Row:** Jenny Jeffers, Justin Schrader, Annette Knief, Rick Nelson, Jim Kattman, Mark Murphy, Joanne Campanelli | **2<sup>nd</sup> Row:** Robin Roberts, Virginia West, Tarik Subbagh, Tian Xiao, Susan Bernard, Colette Sawyer, Eli Snowbarger, Ryan Havick, Lindsay Crawford, Leane Rafalko, Bob Wong | **3<sup>rd</sup> Row:** Mark Ossi, Diane Carter, Chase Davis, Doug Slape, Glen LeGault, Shawn Frederick, Bill Harrington, Mel Heaps, Richard Foster | **4<sup>th</sup> & 5<sup>th</sup> row:** Sean O'Donnell, Brian Sewell, Ber Vang, John Humphries, Monique Smith, Kyo Chu, Joanne Smith, Ignatius Wheeler, Jim Williams, Eric Dercher, Barry Armstrong, Bryant Cummings, and Bill O'Connell

## Accouncing the 2016-17 Executive Committee and SOFE VP's

### Officers:

**President** | Rick Nelson, CFE

**Treasurer** | Mark Murphy, CFE

**Secretary** | Justin Schrader, CFE

**President Elect** | Jim Kattman, CFE

**Past President** | Annette Knief, CFE

### Committee Chairs:

**Joanne Campanelli, CFE**  
Nominations, Bylaws

**Mark Murphy, CFE**  
Finance, CDS Program

**Annette Knief, CFE**  
CDS Program

**Jim Kattman, CFE**  
Management

**Ryan Havick, CFE**  
Membership

**Jenny Jeffers, AES**  
AES

**Jan Moenck, CFE**  
Education

**Rick Nelson, CFE**  
Future Sites

**Colette Sawyer, CFE**  
Website Transition

**Eli Snowbarger, CFE**  
CDS

**Tarik Subbagh, CFE**  
Examinations

**Virginia West, CFE**  
State Chapters

**Ignatius Wheeler**  
Professional Standards

**Tian Xiao, CFE**  
Publications



## New Members and CFE/AFE/AES Designees

The following designations and new memberships were approved following the last issue of *InSight*.

### Welcome New Members!

#### Associate Members

James Dougherty | CA

Kathryn Koch | KS

Elizabeth McCallam | KY

Peter Scott | KY

Kathleen Borgman | MI

Christopher Brown | FL

James Carpino | PA

Kenneth Cordell | GA

Staci Ginsburg | DE

Steve Hazelbaker | IN

Megan Hulette | VT

Denise Mainquist | GA

Scott Meyer | OR

Meredith Mooth | AL

Rachel Myslinski | PA

Anthony Ngo | TX

Guy Sams | NM

Andrew Short | TX

Changyi Song | PA

Richard Todd | KS

Juan Villasana | TX

James Wagener | TX

#### General Members

Matthew Bjorkman | CT

Julie Bond | AZ

### Congratulations to New Designees!

#### CFE Designations

Gregory Chew | VA

Kelly Monaghan | PA

Temitope Nayin | TX

Josue Ruiz | TX

Patrick Tess | MI

#### AFE Designations

Selrey David | NY

Ryne Davison | MS

Ellen McCarthy | CT

Natalie Yue | TX

#### AES Designations

Philip Barrett | CT

## Important Reminders

### Credits Earned at 2016 CDS are Automatically Imported into Member's CRE Records

2016 CDS CRE credits have been imported into the SOFE database for AFE, CFE and AES members who scanned into sessions. SOFE designees will not input their SOFE CDS credits manually. All other credits except for SOFE CDS credits must be reported by each AFE, CFE or AES member by January 31, 2017. Access the CRE reporting area via [www.sofe.org](http://www.sofe.org). For proof of credit for non-SOFE designations, the CDS CPE certificates are available for access at the "Education/Career Development Seminar" page tabbed at the top of [www.sofe.org](http://www.sofe.org).

### Triennial CRE Requirements in 2016

As 2016 marks the end of the 2014-16 CRE triennial period, at least 120 CRE credits are due for the three-year period. Up to 40 hours of excess credits earned during the previous triennial period may be carried forward to this triennial total, but at least 20 of your 120 triennial credits must be earned during 2016. Be sure to review the CRE requirement details in the certification page of the SOFE website. **The filing deadline for 2016 CRE credits is January 31, 2017.**



## State Chapter News

### Tennessee Chapter

Tennessee State Chair Bryant Cummings reported that the Tennessee Department of Commerce and Insurance and the Tennessee state chapter held a Regional Training Seminar April 27–29, 2016. Topics covered included ORSA, ERM, cybersecurity, reinsurance, the role of actuaries on an examination, and the role of external auditors on an examination. Presentations were given by speakers from Noble Consulting Services, Inc., Rector & Associates, Inc., Lewis & Ellis, Inc., Rook Security, AGI Services, and Faulkner, Mackie, and Cochran, P.C.

Over 30 attendees were present at the training. In addition to Tennessee, staff representing the insurance departments of Alabama, Kentucky, and North Carolina attended the training. Johnson

Lambert LLC sent a member of its organization to attend as well.

Cummings credits Jim Hattaway of Noble Consulting for playing an instrumental role in assisting with the organization of the program. From the responses of the attendees, it was deemed a successful training event.

Looking forward to putting together a training program next year and welcoming feedback from this year's attendees, Cummings says, "Now that this training has concluded, I want to explore topics that attendees are interested in that were not covered this year. I will look to match the same caliber speakers with the new topics. I look forward to having more attendees, more states represented, and more contract regulators and consultants."



*Tennessee Chapter training program participants and presenters*



*Dir of Fin. Exams/Chief **Joy Little** gives closing remarks*



*Tennessee Commissioner **Julie Mix McPeak** addresses attendees*





## Mark Your Calendars Upcoming SOFE Career Development Seminars

Details as they are available at: [www.sofe.org](http://www.sofe.org)



**2017 July 23–26**

Marco Island, Florida  
JW Marriott Marco Island



**2019 July 21–24**

Memphis, Tennessee  
The Peabody Memphis



**2018 July 15–18**

Indian Wells, California  
Hyatt Regency Indian Wells Resort & Spa



**2020 July 19–22**

Orlando, Florida  
Walt Disney World Swan Hotel



**Examiner**

### Authors Wanted

The Publications Committee is looking for members to write articles for the quarterly *Examiner* magazine. **Authors will receive six Continuing Regulatory Credits (CRE) for each technical article selected for publication.**

Interested authors should contact the Publications Committee Chair, **Tian Xiao**, via [sofe@sofe.org](mailto:sofe@sofe.org)