



INSIGHT

REGISTER NOW ONLINE FOR THE SOFE 2012 CDS

July 29 – August 1 | Omni Mandalay Hotel | Las Colinas | Dallas, Texas



Registration Information

All registrations must be made online at www.sofe.org. After you register, you will receive an email confirmation of your submitted registration and a payment receipt if you paid online. **Register by June 29 for the best rate.**

Registration Fees

| PAYMENT RECEIVED | By 6/29 | After 6/29 |
|----------------------|---------|------------|
| Members of SOFE | \$530 | \$630 |
| Non-Member Examiners | \$680 | \$780 |
| Retired Members | \$300 | \$400 |
| Spouse/Guest/Youth | \$90 | \$115 |

This registration includes the Sunday night social, access to the hospitality suite, and continental breakfast on Monday, Tuesday, and Wednesday in the Hospitality Suite.

First-Time Attendees

Firms/departments that send two (2) or more first-time attendees to the 2012 CDS receive a discount on their registration fee. (See instructions in the online registration form.)

CDS Overview

Venue — The elegant Omni Mandalay Hotel at Las Colinas, TX offers spacious guest rooms and is conveniently located close to area cultural attractions, just 8 miles from the Dallas/ Fort Worth International Airport and 8.8 miles from Dallas Love Field airport.

Featured Event — The CDS Sunday Night Social will be off-site at the Circle R Ranch via round trip motor coach. In addition to a full Texas buffet, activities, games and line-dancing, attendees will also enjoy a special *Circle R Ranch Rodeo Show* to cap off the evening.



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Important Announcements

CDS Overview *(continued)*

Session Highlights — The Center for Medicare and Medicaid Services (CMS) will speak on compliance with issuers' reporting forms, the role of states under the Affordable Care Act's MLR and Rate Review provisions and HHS oversight. NAIC staff will present on a wide range of topics that include updates on Statutory Accounting Principles, Accreditation, and the Financial Condition Examiners Handbook. NAIC staff will also present on corporate governance, prospective risks and share insights gained from the NAIC Peer Review Project as well as Industry Responses to Risk Focused Exams. Other topics will include Federal Insurance Oversight, Ratings and Analysis for Captive Insurers, IFRS, ORSA and timely topics from actuaries, reinsurance and tax specialists.

Paul Burton *Guest speaker*

Mr. Burton, author, attorney, and coach will also be in hand to present helpful tips on regaining command of your day.



For the detailed CDS brochure and to register, visit the CDS website linked to www.sofe.org

Special **THANKS** to the 2012 SOFE CDS Sponsors

PLATINUM LEVEL

Eide Bailly, LLC
Huff Group, LLC
INS Regulatory Insurance Services, Inc.
Invotex Group
Noble Consulting Services, Inc
Risk & Regulatory Consulting, LLC
(Formerly RSM McGladrey, Inc.)

GOLD LEVEL

Examination Resources, LLC

SILVER LEVEL

Bingham McCutchen, LLP
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The Thomas Consulting Group

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Bluestone Work Paper Software
Lewis and Ellis, Inc.
Reinsurance Association of America

SOFE Annual Awards Nominations Invited

The Society sponsors annual awards which are presented during the Career Development Seminar. The recipients are selected by the Awards Committee from the membership. To that end, the Awards Committee invites nominations of qualified candidates for the following annual awards:

The Founders' Award

This award is presented to the SOFE member who has contributed most substantially to SOFE in the past year. *(Executive Committee members are not eligible.)*

The Don Fritz Memorial Award

This award is presented to year's most outstanding SOFE State Chair.

All nominations are submitted online at www.sofe.org at the "Award" links under the "Membership" tab. The deadline for receipt of nominations is June 1, 2012.



Important **REMINDERS** Important Reminders Important Reminders

CRE Credit Tracking at CDS

SOFE tracks attendance for CRE credit by a badge scanning process. It is the attendee's responsibility to make sure that his /her badge is scanned by one of the badge scanners prior to each session attended.

If you arrive later than half way through the session, there will be no credit awarded for that session. If you step out of the session for any reason, your badge will be scanned as you leave and scanned when you return. You will only receive credit for the time that you were in the session. If you stay in the same room for multiple sessions, make sure to have your badge scanned prior to each session you attend in that room.

A week after the end of CDS, the website link for CRE credits and certificates will be posted in the 2012 CDS area of **www.sofe.org**.

You will log in using the CDS ID printed on your name badge. It is the attendee's responsibility to log in and download the CRE certificate after it is available. The link will be available for several months to allow ample time to download the certificate to retain with your 2012 CRE reporting records.

CRE Reporting Forms Due

CRE Reporting Forms for 2011 are due on March 31st, 2012. There is a **\$25 late fee** applied to CRE Reporting Forms received *after March 31*. Failure to timely file the CRE Reporting Form may result in suspension of your designation. More information and the CRE Reporting Form can be found on the "Forms" landing page at:

<http://sofe.org/forms/index.cfm>

Under the heading:

Continuing Regulatory Education

Please review the details on at the "Certification" tab at the top of the screen at: **www.sofe.org** for the CRE requirements and information on how to prorate credits. If you have any questions, contact the SOFE staff at **sofe@sofe.org**.

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CRE Audits

All members with a designation have the possibility of being selected for an audit of their 2011 CRE.

Reporting Form. In 2010, the SOFE Executive Committee approved a new procedure for performing CRE audits as follows:

- A random audit of **5%** of all general members CRE Reports will be performed annually
- A **100%** audit of new Board of Governors' CRE Reports will be performed their first year in office
- A random sample of **20%** of existing Board of Governors' CRE Reports will be performed each year.

Questionable and incomplete CRE reporting forms will be audited at **100%**. If you have any questions, contact SOFE at sofe@sofe.org.

SOFE Board of Governors Nominations

Nominations are being accepted through **June 15** from members wishing to serve on SOFE's Board of Governors.

The form includes instructions for submission and can be found by logging into the SOFE website Members Only area landing page. The form is under the "Files" links there.

The nomination form and materials are submitted by email or by the SOFE toll free fax 888-436-8686, which converts the fax to email.

New Testing Site for AFE, CFE Tests and CRE Reading Tests

The new SOFE testing site opened in **April**, and has already been used by nearly 100 test takers. Operating on an internationally recognized testing platform, the new SOFE testing site puts the scheduling of tests into the hands of the test taker.

CRE Reading Program Credits

CRE reading tests offered on the site do not require a proctor and are *free*.

AFE and CFE Tests

For the AFE and CFE tests, the site allows SOFE test takers to pay online and sit for tests any day of the year that their SOFE approved proctor is available to proctor their test. Online payment is recommended for all test takers, as tests not paid for online require additional processing time and are restricted to certain days. Please see the information page linked from the Test tab on the SOFE website.

Approved Proctors

Since any CFE who wishes to proctor is eligible to do so, all CFEs have proctor privileges in the testing database. To check for additional CFEs in your geographic area regarding their availability to proctor, consult the SOFE Member Directory located in the Members Only area.

CFEs attaining designation since **March 2012** should log into SOFE's Test.com site to be sure they have been updated for proctor privileges before agreeing to proctor.

CFEs who had proctored recently and non CFE proctors who were appointed by their state are listed on the list of Recent Proctors linked to the Test Information page.

How to Access the Testing Site

The test site link and first time user sign in steps are outlined on the *Members Only* landing page of the SOFE website. The instructions also appear on the Examiner landing page for easy access by CRE Reading Test takers.

Updated AFE 4 Test Available in July!

The SOFE Examinations Committee plans to have the updated AFE 4 test online for test takers by **July**.

The Study Guide for the new AFE 4 test will be posted at the "Testing" tab of www.sofe.org in **May**.

The earlier version of the AFE 4 test will remain available until **December, 2012** for anyone who had prepared previously to take that version of the test.



NEW Designees and NEW Members

The following designees were approved at the **April, 2012** Executive Committee meeting or via email teleconference call voting between late January and April.

CFE Designees

Hermoliva Abejar | UT
Joseph Hofmeister | NE
Francois Houde | MS
Barry W. Lupus | MD
April Ann Ostrander | TX
Marygrace Pesce | NJ
Gene M. Renard | WI
Robert Simmons | AR
Steven Tsimtsos | MA
Elena Vetrina | WI
Tian Xiao | KS
Denise M. Ybarra | TX
Waheed Zafer | IL

AFE Designees

Danny KW Chan | HI
Roberto Paradis | NY
Patricia Shofner | KY
Linda Tolley-Raffle | WV
Jeff Hunt | TX
Anna Marie Allen | NY
Susan F. Bonner | MD
Emily Cheng | MD
Jennifer R. Danz | MO
Matthew A. Fischer | ND
Tal Redpath | MT
Jay Thompson | KY
Bob Wong | IA
Elizabeth Ammerman | RI
Lyubov Berzin | NE
Cecilee Diamond-Houdek | MO
Purushotam Shrestha | MD

AES Designees

Gary E. Evans, CFE, AES | NE
Keith D. Wandel, CFE, AES | PA

WELCOME NEW MEMBERS!

General Members

Hussein Agouda | NY
Matthew Aidola | DE
Heriel Alvarez | CA
Eyerusalem Amde | TX
Philip J. Barrett | CT
Trina W. Barton | GA
David Alan Baylock | NC
Ann-Marie Branicz | AZ
Steven L. Carlson | DE
Calab Chang | CA
Shu Chen | CA
Sam Ming Fai Chiu | CA
Jason Chu | CA
Julexes Delossantos | CA
Seth Doyle | SD
Terry Gates | WA
Aaron Hibbs | OH
Thomas G Hudson | DE
LaTrenda Johnson | LA
Michelle Kelley | TX

Keith Kleindienst | CT
Cliff Larson | ID
Man Chak Li | CA
Hanzjao Meng | CA
Rhonda C. Montgomery | NY
Adrienne Nashiro | HI
Paula Oswald | MT
Nayan Patel | CA
Mohan (Hans) Pessumal | CA
Adam Plain | NV
Adrian Pope | NY
Peter Rao | NV
Patricia Severs | OH
Michael T. Shanahan | CT
Kimmea Shannon | NE
Jennifer Snow | WV
Kevin Stubbs | NE
Jamie Taylor | WV
Sonia Thomas | NY
Michael G. Tomes | FL
Bridgette Vargas | CA
Rasa Zubielaite | DE

Regulatory Members

David Ault | NM
Timothy S. Bell | SC
Carol S. Pava | NM
Steven B. Price | UT
James Brent Reese | SC

Student Member

Lenay Richardson | CA





Mark Your Calendars | Upcoming SOFE Career Development Seminars



2012

**July 29–August 1
Dallas, Texas**

Omni Mandalay Hotel at Las Colinas

Registration now open at: www.sofe.org



2013

**July 21–24
Henderson Lake, Nevada**

Red Rock Resort



2014

**July 27–30
Philadelphia, Pennsylvania**

Loews Philadelphia Hotel

AUTHORS WANTED

The Publications Committee is looking for members to write articles for the quarterly Examiner magazine. Authors will receive six Continuing Regulatory Credits (CRE) for each technical article selected for publication.

Interested authors should contact the Publications Committee Chair, **Jenny Jeffers**, via sofe@sofe.org.

