
I N SIGHT

State of the Society

Richard Ford, JD, CFE, CIE
Immediate Past President

With gratitude for the efforts and hard work of the members of your Executive Committee and committee members, it has been a challenge but a pleasure to be President of SOFE for this year. SOFE has kept open the communications with the NAIC this past year. I have served on the NAIC's Designation Advisory Board which allowed the discussion of both SOFE's role and the direction that the NAIC is going with their program. The NAIC has expressed the need to cooperate with the professional designation societies and recognizes SOFE designations as representative of the skill sets needed for solvency regulation. The relationship with the National Association of Insurance Commissioners (NAIC), especially with Commissioner D. Kent Michie, chair of the NAIC Designations Advisory Board has been enhanced. Commissioner Michie has recognized and discussed the merits of both SOFE's and the NAIC's educational programs. The first Senior Professional Insurance Regulator (SPIR) designee is our own Steve Szypula, a former SOFE President. I know your next President will continue to lead SOFE in the same positive direction that we have sought with the NAIC and further improve our communication efforts.

Our finances are stable and consistent. Your Treasurer, Mike Dinius, has maintained a conservative investment strategy for our organization's funds even with the upheavals and government interventions in the financial and banking markets. Our testing database will continue to improve through the efforts of the testing committee. Richard Foster was gracious to accept on an interim basis the responsibilities of the insurance testing committee. He had previously served as the Vice President—Financial Institutions and has studied the possible revamping of the certification programs for that discipline.

For another year Don Crawley has put together what looks to be a very educational Career Development Seminar (CDS) this year. We will miss Don's enthusiasm and hard work if he gives up this duty next year.

It was good to see many of you there and welcome you all to Sacramento. While in California, I hope you all found a little time to see the area including the wineries of Napa and Amador Counties and the city of Sacramento; although, I know you didn't leave the training sessions Don and his committee put together. Thank you, Don, the CDS committee and to Cindy Smith, our meeting planner, for pulling this CDS together.

I appreciate the work of your Executive Director, Paula Keyes and her staff this last year for their support. We have several members of the Executive Committee and the Board of Governors leaving this year, and it has been my pleasure to serve with them and learn from them. Thanks is too little praise to give to our general counsel, Bill Latza, whose measured advice, drafting of resolutions and documents within short timeframes, and calm guidance is invaluable to SOFE and its activities.

The work would have been impossible to complete without the reliable and selfless support of the Executive Committee and the Board of Governors. I would also like to extend a special word of thanks to our educational partners, the Financial Examiners Educational Foundation, a group of senior, experienced men of insurance, who have provided a sponsoring grant to fund the industry track this year for which we are grateful.

Thank you for entrusting me to serve this year as your President.

July/August 2009
Vol 38 No. 4

Society of
Financial Examiners®

I N S I D E

2009-2010 Committee Members
Needed

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Future Sites of CDS

◆
Thank You To Retiring Board of
Governors and Members of the
Executive Committee

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2009-2010 Executive Committee

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2009-2010 Board of Governors

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Welcome Associate Members

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Pin Winners at CDS

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Educational Breakfast

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In Memorium

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SOFE Educational Breakfast -
September 2009

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Maryland CDS

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State Chapter News

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News From Headquarters



2009-2010 Committee Members Needed

Calling All SOFE Members

The 2009-2010 leadership team needs you to serve on one or more of the following committees. Please review this list and contact the Vice President/Chair of the committee or Paula Keyes at pkeyes@sofe.org to sign up for the committee in which you are interested. Keep in mind that the Vice President/Chair will decide who will serve on their committee. Also, you do not need to attend any meetings to serve on these committees as most of the work is done via teleconference. This is your opportunity to get involved and become a stronger part of SOFE.

Career Development Seminar

Vice President

Roshanak "Roshi" Fekrat, CFE, CPA, CIA

The CDS committee is responsible for the agenda of this annual program, procuring all of the speakers and topics, and working with the Certified Meeting Planner and headquarters staff in planning this event from conception to wrap-up.

Continuing Regulatory Education

Vice President

Colette Hogan Sawyer, CFE

The CRE committee reviews and/or approves all sponsors of CRE credit in conjunction with headquarters staff. They oversee the work performed by headquarters staff in the review and approval of the annual CRE Reporting Forms submitted by designees and the CRE audits performed annually. This committee also reviews and approves all applications for the AFE and CFE designations in the Insurance discipline in conjunction with the Insurance Membership committee.

Financial Institutions

Vice President

James M. Kattman, CFE

The responsibilities of this committee are to review the AFE and CFE designation program for this discipline and determine the feasibility of continuing the program with a recommendation to the Executive Committee in January 2010. In addition, they approve all membership applications for the Financial Institutions discipline. There is a lot of work to be completed by this committee and they are looking for any members with banking and/or financial institutions background or anyone willing to work.

Future Sites

Vice President

Roshanak "Roshi" Fekrat, CFE, CPA, CIA

The Future Sites committee is responsible for selecting the venue for future CDS programs. They have currently selected sites through 2013. This year they will be looking at sites for the 2014 CDS as well as reviewing the feasibility of a joint seminar with IRES.

Insurance Membership

Vice President

Ryan L. Havick, CFE

The Insurance Membership committee reviews all general membership applications and reinstatement of designation applications. This committee also approves all applications for the AFE and CFE designations in the Insurance discipline in conjunction with the Continuing Regulatory Education committee. It must have at least 5 members.

Insurance Examinations

Vice President

Eric C. Dercher, CFE

This Insurance Testing committee must consist of at least 9 members. You should have the AFE or CFE designation to serve on this committee as the main function of this group is reviewing and updating the database of test questions used in the seven examinations administered by the Society. In addition, this committee continuously updates all of the study guides, responds to queries from test takers about specific exam questions and keeps the test banks current.

Marketing

Vice President

David A. Palmer, CFE

The Marketing committee is responsible for SOFE name recognition within the insurance industry and working on membership growth.

Nominations

Vice President

Robert B. Kasinow, CFE

This committee reviews and approves the applications of candidates for the expiring and open positions for the Board of Governors. The Nominations committee must have at least 7 members of the Society.

Professional Standards**Vice President***Robert B. Kasinow, CFE*

This committee must consist of at least ten members, who may initiate and shall conduct investigations of potential disciplinary matters involving members of the Society. They also draft, interpret and amend the Society's Code of Ethics.

Programs and Publicity**Vice President***Mary M. James, CFE, CPM*

This committee plans all educational programs of the Society with the exception of the annual Career Development Seminar and those of individual state chapters. The main focus of this committee is the quarterly programs offered at the NAIC meetings.

Publications**Vice President***Richard J. Nelson, CFE*

This committee is responsible for the Examiner and INSIGHT publications. This includes procuring authors and articles, editing articles, proofreading final drafts, and obtaining and approving advertising. The committee also oversees the CRE Reading Program and drafts the questions for each quarterly test.

State Chapters**Vice President***Richard B. Foster, CFE*

This committee does not need any volunteers as it consists of the state chairs. They conduct semi-annual teleconferences by region with SOFE management and they are the link between headquarters and the membership to ensure the dissemination of information. The committee is responsible for the annual State Chapter luncheon at the CDS and the review and approval of the annual Don Fritz Award winner.

Ad Hoc Committees**AES Committee****Vice President***Jenny Jeffers, CISA, AES*

The AES committee oversees the requirements for the AES designation and they approve all applications for the designation. You must hold the AES designation to serve on this committee.

Associate Members Committee**Co-Chairs***Linda Spann and Bill Geiger*

This committee seeks guidance from Associate Members of the Society in how to best serve their membership needs and utilize their strengths within the structure of the Society as well as suggest ways to increase membership in this category.

Membership Liaison Committee**Chair***Philip Blustein, CFE*

This committee acts as a sounding board for members who have suggestions for SOFE leadership or are having issues with the Society, which they feel are not being adequately addressed by management.

Website**Vice President***Jenny Jeffers, CISA, AES*

The website committee is responsible for the content and design of the Society's website as well as the continuous review and update of information contained therein. They also are responsible for the formulation and administration of an advertising policy.

**Future Sites of
the CDS****2010**

**August 1 - 4, 2010
Westin Providence
Providence, RI**

2011

**July 17 - 20, 2011
Hyatt Regency,
Jacksonville
Riverfront
Jacksonville, FL**

2012

**July 29 - Aug. 1, 2012
Omni Mandalay
Hotel, Las Colinas
Irving, TX**

2013

**July 21 - 24, 2013
Red Rock Hotel
Red Rock, NV**

Thank You To Retiring
Board of Governors and
Members of the Executive
Committee

Retiring from the Board
of Governors

Darrin P. Bailey, Sr., CFE,
MHP, AIAF
2002 - 2009

Donald A. Crawley, CFE,
CPA
2005 - 2009

Pierre J. Glover, CFE
2005 - 2009

David O. Hurt, CFE, CPA
1999 - 2009

Gerald F. Lambert, CFE
1995 - 2009

Larry I. Levine, CFE
2004 - 2009

Danny Saenz, CFE
2002 - 2009

Stephen J. Szypula, CFE,
CPM, CGFM
1999 - 2009

Retiring from the Execu-
tive Committee

Donald A. Crawley, CFE,
CPA
2006 - 2009

Darryl Reese, CFE, CIE,
CFE
2003 - 2009

Tina Renfrow, CFE
2008 - 2009

2009 - 2010 Executive Committee

President

Dennis L. Kluk, CFE

Secretary/Treasurer

James L. Hattaway, III, CFE, CIE

Vice President - Management

Michael P. Dinius, CPA, CFE

**Vice President - Career Development Seminar
and Future Sites**

Roshanak "Roshi" Fekrat, CFE, CPA, CIA

**Vice President - Continuing Regulatory
Education**

Colette Hogan Sawyer, CFE

Vice President - Financial Institutions

James M. Kattman, CFE

Vice President - Insurance Examinations

Eric C. Dercher, CFE

Vice President - Insurance Membership

Ryan L. Havick, CFE

Vice President - Marketing

David A. Palmer, CFE

**Vice President - Nominations and Professional
Standards**

Robert B. Kasinow, CFE

Vice President - Programs and Publicity

Mary M. James, CFE, CPM

Vice President - Publication

Richard J. Nelson, CFE

Vice President - State Chapters

Richard B. Foster, CFE

Vice President - Website

Jenny L. Jeffers, CISA, AES

Immediate Past President

Richard Ford, JD, CFE, CIE

2009 - 2010 Board of Governors

<u>Name</u>	<u>Term Ending</u>	<u>Name</u>	<u>Term Ending</u>
James N. Armstrong, CFE	2013	Robert B. Kasinow, CFE	2013
Sandra A. Bigglestone, CFE	2013	James M. Kattman, CFE	2012
Philip Blustein, CFE	2011	Dennis L. Kluk, CFE	2010
Annette Courter Boyce, CFE	2011	Annette Knief, CFE	2012
Mario A. Cuellar, CFE	2014	Larry Lucas, CFE, CPA	2010
Bryant Cummings, CFE	2014	David A. Martinez, CFE, AIE,	
Chase H. Davis, CFE.	2014	CGEIT, CFE (Fraud)	2011
Eric C. Dercher, CFE	2014	Neil L. Miller, CFE, CPA	2014
Michael P. Dinius, CFE	2014	Judy N. R. Nako, CFE	2011
Roshi Fekrat, CPA, CIA, CFE	2013	Candace W. Nalepa, CFE	2013
Richard Ford, CFE	2011	Timoteo L. Navaja, CFE	2010
Richard B. Foster, CFE	2010	Richard J. Nelson, CFE	2012
Jim Hattaway, CFE, CIE	2011	James G. Nixon, CFE	2010
Joseph A. Haverstick, CFE	2013	P. Sean O'Donnell, CPA, CFE	2012
Ryan L. Havick, CFE	2012	David Palmer, CFE	2014
Melvin D. Heaps, Jr., CFE	2011	Harold E. Payne, II, CFE	2010
Frederick Heese, CFE	2012	Darryl Reese, CFE	2012
James T. Holland, CFE	2014	Tina Renfrow, CFE	2011
Joseph B. Holloway, CFE	2014	Colette Hogan Sawyer, CFE, CPM	2013
John B. Humphries, CFE, AES,		Brian Sewell, CFE	2014
ASA, MAAA	2012	Doug Slape, CFE	2010
Patrick A. Hyde, CFE	2013	Nancy S. Thomas, CFE, CPA	2013
Mary M. James, CFE	2011	William J. Umbaugh, CFE	2013
Mark A. Jaster, CFE	2011	Virginia West, CFE	2012
Jenny Jeffers, CISA, AES	2010		

New Members and Designees

At the Executive Committee on July 25, 2009 the following new members and designees were approved:

NEW MEMBERS

Anna M. Allen – NY	Diane Gallaher - MI	Wenge Y. Parr - TN
Brenda Baxter - OK	Pat Fletcher-Groves – TN	John Romano – PA
Alicia Birch – LA	Gina Gainer – VA	Marlys Rulon - AZ
Rick Buchwald – MD	Hayward Kurt Gillies – NH	Sherilina Schmidt – Oranjestad
James H. Carter - MS	Valerie Hastings – MO	Phil Schmoyer - PA
Kui Cheng – TX	Stephanie M. Kennedy - MI	Nick Schumacher - ND
John Clark – IL	Erwin Liang – CA	Angela Searls – MO
Heide Delorme - ND	Ian Martin – NY	Yuna Shotwell – TX
Jenny Denison – VT	Joseph McGarry - MI	William Starks – SC
Ryan Donahue - ND	Sandra Mendez - TX	Stephanie Theile – MI
Roy Eft – IN	Jason Ng – CA	Sandor L. Vincze (Mark) – NV
Matthew A. Fischer – ND	Daniel Painter – MN	Eric S. Weinlander - MI
Kristin Forsberg - WI	Milton Parker Jr. – VA	Gang Xue - CA

New Institutional Member

ProAssurance Indemnity Company, Inc.

During the quarter the following new members were approved:

Rebecca Easland – WI
Chiffon King - CT

NEW DESIGNEES

AFE Designees

Omar David Akel - AR
Lilly H. Araya - TX
Rhonda Ball – AL
Evangeland Barnes – TX
Joel Bengo – FL
Kristin Forsberg – WI
Li S. Lim - CA
Yong Mei Gu – CA
Bridget Lopez – CA
Sandra Mendez – TX
Irma Rodriquez – TX
Bethaney Ryals - DE
Ben Templeton - TX
Abenet Teshale – TX
Kaiying “Kate” Wei – SC
(Effective 8/17/09)

During the quarter the following designations were approved:

AFE Designees

Angela Bartlett - IN
Rebecca Easland – WI
Chiffon King – CT
Andrew Moore – IN
Ellen Quale - OR
Leane Rafalko – NC
Rebekah Rosenfield – IN
Douglas Slayten - IN

CFE Designees

Toni L. Bean - AL
Claudia Camarillo – TX
Xiaohong Dan - PA
Gretchen Duffin – TX
Kristina M. Gaddis - GA
Steven Good – NC
Melissa Johnson – KY
Joanna Latham - GA
Mary Alison Miele Schleit – FL
John V. Normile – DE
James L. Summers - TX
Jacqueline Yarbrough - TX

AFE – Financial Rating/ Analysis Designees

Mark Cooley - MS
Gary Evans - NE

AFE & CFE Designees

Ian D. Martin - NY
Angela Searls – MO

CFE Financial Rating / Analysis Designees

Bret Christen – NE
(Effective 8/21/09)
Jill Gleason – NE
(Effective 8/21/09)
Skyler Lawyer – NE
(Effective 8/21/09)
Kori Trenise White - LA

CFE Designees

Suh-Ling (Susan) Chien - TX
David Crandall - CT
Shannon Hopkins – PA
Joshua Johnson - NE
Lori Wright – AL

Welcome to SOFE's New Associate Members

Evan D. Bennett
Blackman Kallick (IL)

Karen E. Elsom
Lewis & Ellis, Inc. (KA)

M. Jon Huff
Huff, Thomas & Co (MO)

Brian Johnston
Reinsurance Solutions
(PA)

Deborra M. Poorman
Wakely Actuarial Ser-
vices, Inc. (FL)

John D. Shirley
JD Shirley LLC (AL)

The following members
have been granted Retired
status:

Lunsford Darnell
Barringer, CFE (LA)

Charles M. Broad, Jr., CFE
(MS)

John Richard Johnson,
CFE (MO)

Mary Lee Kreuter, CFE
(NY)

Bok-Sook Lee, CFE (CA)

Jacqueline Parker, CFE
(IL)

Larry Sara, CFE (PA)

Karen Smigel, CFE (CT)

Ramaswamy Sriram, CFE
(TX)

Anne L. Ward, CFE (AL)

Thomas M. White, AFE
(WV)

Dennis F. Ziroli, CFE (RI)

Thank You To The Sponsors Of the 2009 Career Development Seminar

Winners of “Wear Your SOFE Pin At CDS” Contest

The following members were the first ten people to come to the registration desk with their SOFE pin. They won a SOFE flash drive.

Karen Milster

Dave Lathrop

David Emory

George Morgan

George Brady

John Marshall

Maria Comsti

Steve Mack

Li Lim

Tim Morris

Thank you to all of our sponsors. Without their dedication to SOFE, we would not be able to offer quality programs such as the Career Development Seminar.

Registration Brochure	Invotex Group
Sponsorship of the Professional Series Track	The Financial Examiners Educational Foundation
State Chair/First-Timers Reception	Eide Bailly LLP and Stroock & Stroock & Lavan LLP
Sunday General Session Speaker Charles Smithson of Rutter Associates	Bingham McCutchen, LLP
Sunday Social Entertainment	Huff, Thomas & Company
Sunday Social Drinks	Regulatory Consultants, Inc.
Sunday Social Food	Huff, Thomas & Company
Monday Breakfast Roundtables	California Chapter of SOFE
Monday Morning Break	Insurance Regulatory Consulting Group
Annual Meeting Luncheon	Examination Resources, LLC
Monday Afternoon Break	Eide Bailly LLP
State Chair Luncheon	RSM McGladry, Inc.
Tuesday Membership Luncheon	INS Regulatory Insurance Services, Inc.
CDS Program Brochure	Noble Consulting Services, Inc.
Spouse/Guest Hospitality Suite	McKonly & Asbury, LLP and Taylor-Walker & Associates, Inc.
Past President’s Breakfast	SmithLittle LLC
Wednesday Board of Governors Luncheon	Eide Bailly LLP
General Sponsorship	SmithLittle LLC
Sponsorship of Laptop Computers Used In Sessions	RSM McGladry, Inc.
Registration Desk Internet Service	CCH® TeamMate, A Wolters Kluwer Business

*A very special thank you to **INS Regulatory Insurance Services, Inc.**, who has been a long-time supporter of SOFE and who were inadvertently left out of the CDS Program for the Tuesday Membership Luncheon and to **SmithLittle LLC**, a new sponsor of SOFE, who was also inadvertently left out of the Program. We apologize for the oversight, but we do truly appreciate your support!!!*

2009 Annual Awards

Each year the society gives out several awards at our Annual Business Meeting and Luncheon. The recipients of this year's awards are truly deserving. They are:

Editor's Choice Award

The winners were **Jan Moenck**, CFE, CRP, CIA, CBA, FLMI and **LeeAnne Creevy**, CISA, CITP, both of RSM McGladrey, Inc. This award is given to the author(s) who has written the best received article for *The Examiner* during the past year. They won for their article, Evaluating Internal Controls in Risk Focused Exams of Small Companies, in the Summer 2009 issue of *The Examiner*. In addition to the article, they presented this as a session at the 2009 Career Development Seminar in Sacramento, CA. The Publications Committee selected the winners and the award was presented by Rick Nelson, Vice President – Publications.

Don Fritz Award

The winner was **Mary M. James**, CFE, CPM, State Chair of Florida.

This award is given to the State Chair who has contributed significantly during the last term. Mary is in charge of the Florida state chapter that is one of the largest in SOFE. The chapter supports their members who are taking the SOFE examinations by providing copies of the text books and by providing proctors on the dates the test takers need them. Mary was diligent in getting books donated to the FL chapter through the Financial Examiners Educational Foundation. Mary also won this award in July 2002. Mary also serves on the Board of Governors and the Executive Committee, where she is Vice President of Programs & Publicity. The award was presented by David Palmer, Vice President - State Chapters, but unfortunately Mary James was unable to attend.

Founders Award

The winner was **George J. Piccoli**, CFE, CIE.

This award is given to the SOFE member who is not a State Chair and does not serve on the Board of Governors, but who has significantly contributed to the Society. George has contributed to SOFE over many years through sponsorship of the Career Development Seminar and other SOFE educational program from his firm, INS Regulatory Insurance Services, Inc. and the Financial Examiners Educational Foundation. Because of his input, the Foundation funded the rewrite of a SOFE examination, the purchase of textbooks for the SOFE examinations, which were distributed to various state insurance departments, and the funding of the Industry Track at the 2009 CDS. His firm has sponsored the CDS program and the Maryland CDS for many years as well as Breakfast Educational Programs in conjunction with the quarterly NAIC meetings. The award was presented by Mike Dinius, Secretary/Treasurer.

Congratulations to our winners, and thank you to all of the SOFE members, who dedicate their time and effort to the association during each year.

Future Executive Committee Meetings

Fall Meeting
September 23, 2009
Gaylord National Harbor Hotel,
National Harbor, MD
(To be held in conjunction with the NAIC.)

Winter Meeting
January 7, 2010
Homewood Suites
Orlando, FL

Spring Meeting
This meeting probably will be held in conjunction with the NAIC Meeting in March 2010 in Denver, but that will be determined later in the year.

Summer Meetings
July 31, 2010
Westin Hotel
Providence, RI
and
August 4, 2010
Westin Hotel
Providence, RI

Note: All applications for membership and designation approval must be received no later than two weeks prior to the meeting of the Executive Committee to allow headquarters staff and the Committee Vice President to review the applications.

In Memorium

Wesley E. Eustice, III



Wesley E. Eustice III, of New Britain, CT died suddenly on January 19, 2009, while on assignment in Rochester, NY for R.S.M. McGladrey. Wes was born in Hartford, the son of Wesley Eustice, Jr. and Marilyn (Hamilton) Eustice of Lakeland, FL formerly of Newington. He was 48 years old and predeceased in death by his sister Susan Eustice. Wes was a 1979 graduate of Newington High School. He received his Bachelor's Degree in Accounting from CCSU graduating Summa Cum Laude. He was a Certified Public Accountant and a Certified Internal Auditor. As a young man Wes was active in Boy Scout Troop #309 in Newington and earned his Life Scout Award. He was also a member of the Youth Hand Bell Choir for the Church of Christ, Congregational, Newington. Later in his young life he was active in the Greater Hartford Jaycees for many years and served in many capacities for the G.H.O. including Chairmanship over the committee that interacted with all the media. He also served as a treasurer of the Hartford Children's Theater for which he held a special affection. Wes had a passion for travel, fine dining and spending time with his many friends with whom he shared a special camaraderie and devotion. He will be missed by all who knew him.

Thank You
RSM McGladrey,
Inc.

RSM McGladrey, Inc. hosted the SOFE Educational Breakfast in Minneapolis, MN on Sunday, June 14th.

The speakers were Jan Moenck, CFE, CRP, CIA, CBA, FLMI, LeeAnne Creevy, CISA, CITP, and Susan Carroll, CPA, CFE.

The topic was Evaluation of Internal Controls in Small Companies and it was very well received by the audience of 40+ attendees.

Thank you for hosting the breakfast and providing an interesting and timely topic.

SOFE Educational Breakfast September 2009

On Tuesday, September 22nd, Examination Resources, LLC will host the SOFE Educational Breakfast at the Gaylord National Harbor in National Harbor, Maryland. The speaker will be Scott D. Burgner, Sr., CCEA, CCSP, MCSE, Owner and Senior Citrix Architect of ConexServ, LLC will present the topic "*Building a Collaborative Workforce with Audit Management Systems (i.e., TeamMate)*". The meeting will begin at 7:30 a.m. and end at 9:00 a.m.

To attend, please RSVP to Paula Keyes at pkeyes@sofe.org. The meal is complementary and you will earn one (1) CRE credit for attendance. Although this meeting is held in conjunction with the NAIC meeting, you do not have to attend the NAIC meeting to participate in the program.



www.sofe.org/maryland Society of Financial Examiners - MD www.sofe.org/maryland

NEW THIS YEAR!
VISIT OUR WEBSITE
FOR ALL INFORMATION
& REGISTRATION

www.sofe.org/maryland

AVAILABLE ON OUR WEBSITE

- Registration Form
- CDS Agenda
- Advance copies of speaker presentations
- Hotel Information and Directions

www.sofe.org/maryland



**Society of Financial
Examiners
Maryland Chapter**

www.sofe.org/maryland

2009 Career Development Seminar

The Maryland Chapter's 11th Annual Career Development Seminar will be held on Wednesday, October 7 through Friday, October 9, 2009 at the Sheraton Baltimore North Hotel in Towson, Maryland. This two and a half day regional seminar is attended by approximately 150 insurance department financial examiners and analysts; financial examiners employed by firms contracting with insurance departments; employees of CPA firms; and, insurance company employees. The CDS will feature:

- ♦ A discussion panel with the Commissioners from MD, PA and DC
- ♦ Speakers to discuss current topics relevant to the insurance industry and financial regulation of insurers. Scheduled speakers include Dr. Therese Vaughan, Chief Executive Officer of the NAIC and other nationally prominent regulators and industry executives. For complete agenda please refer to our website at www.sofe.org/maryland
- ♦ 17 - 19 hours of CPE credits

The CDS is open to all SOFE members, as well as other interested parties.



*THE SOCIETY OF FINANCIAL EXAMINERS - MARYLAND CHAPTER IS AN
INDEPENDENT ORGANIZATION AND IS NOT AFFILIATED WITH THE
MARYLAND INSURANCE ADMINISTRATION*

Design and production courtesy of Invotex Group

KANSAS

INSURANCE INSTITUTE 2009

October 6 - 9, 2009

Kansas Insurance Commissioner Sandy Praeger and the Kansas Insurance Department are pleased to invite you to the Kansas Insurance Institute 2009.

Continuing Education Credits

Up to 28.0 hours of continuing regulatory education credit, approved by the Society of Financial Examiners (SOFE), is available for certified financial examiners.

Institute Location

All sessions will be held at:

Overland Park Marriott

10800 Metcalf Avenue

Overland Park, Kansas 66210

Telephone: 913-451-8000

<http://www.marriott.com/hotels/travel/mciop-overland-park-marriott/>

Hotel Accommodations

A block of rooms is being held at the Overland Park Marriott in Overland Park, Kansas. Make your reservations by calling 913-451-8000 or Toll-Free at 1-800-228-9290. A reduced room rate of \$129 per night is available, if reservations are made by 6:00 PM on September 14, 2009.

Ask for the Kansas Insurance Department group rate when calling.

Reservations may also be made online at:

<http://www.marriott.com/hotels/travel/mciop-overland-park-marriott/?toDate=10/9/09&groupCode=kidkida&fromDate=10/5/09&app=resvlink>

Three Ways to Register:

- ♦ Mail Kansas Insurance Institute 2009
PO Box 2455
Topeka, KS 66601-2455

- ♦ Web www.ksinsurance.org/register

- ♦ Fax 785-296-8848

Registration Fee for SOFE Examiner CRE Program:

Registration received by September 25, 2009 - \$300 (Save \$50)

Registration received after September 25, 2009 - \$350

STATE CHAPTER NEWS

Alabama

Jack Marvin Brown has retired from the Department. He worked for the State of Alabama for 31 years and eleven months. Jack became a contract examiner with Alabama Department of Insurance on June 1, 1977. Jack was an employee of the Alabama Department of Revenue January 10, 1979. He also worked in the Alabama Department of Human Resources as a Food Stamp Auditor and the ABC Board as a Tax Auditor. On October 1, 1981, Jack returned to the Alabama Department of Insurance as an Insurance Examiner I.

From 1985 to 1986, Jack served as Supervisor and then served in the capacity of Deputy Receiver for the Commissioner of the Alabama Department of Insurance in the liquidation of Early American Insurance Company in Fort Worth, Texas. In the late 1980's Jack was instrumental in helping the US Attorney prosecute the two top officers of Champion Insurance Company, John Eicher Jr. and his son, Naaman Eicher. Both pleaded guilty to various federal charges and were sentenced to 46 months in prison and fined \$500,000 each by a federal district court judge. The Louisiana Insurance Commissioner, Doug Green, also went to prison because of information gathered from this examination.

On April 1, 1994, Richard Ford, Alabama Department of Insurance Chief Examiner promoted Jack to Assistant Chief Examiner, and he started a new career at the Department. Jack represented the Alabama Department of Insurance as the Society of Financial Examiner's (SOFE) Alabama State Chair from 2000 to 2003. In the summer of 2004, Jack received the Don Fritz Award from the Society of Financial Examiners for his outstanding service and dedication to the goals of the Society while serving as the 2003 - 2004 State Chair for Alabama.

During Jack's 30 years and 1 month of employment with the Alabama Department of Insurance he worked under 17 Insurance Commissioners and eight Governors of the State of Alabama. The employees of the Alabama Department of Insurance are going to miss Jack greatly. He was dedicated to helping his fellow employees by encouragement, fairness, and educating each of them. We hope he enjoys his retirement!

Florida

Florida Chapter State Chair, Mary James, who was unable to attend this year's CDS in Sacramento, CA was overwhelmed with joy to find out she won the Don Fritz award. She won this award previously in July 2002.

"Thank you, everyone. I am humbled by the experience and know that it is a privilege to win this distinguished award. The thoughtfulness and kindness of my fellow SOFE members who nominated and voted for me is very much appreciated".

Florida

Steven J. Szygula, CFE Becomes First to Receive Regulation Designation.

An employee of the Florida Office of Insurance Regulation earned the distinction of being the first regulator in the country to attain the Senior Professional in Insurance Regulation (SPIR) designation.

Commissioner Kevin McCarty presented the designation to Steven Szygula, the OIR's chief analyst of property and casualty oversight, at the summer meeting of the National Association of Insurance Commissioners (NAIC).

The SPIR designation is one of three levels of designations available from the NAIC's Professional Designation Program. The program is designed to provide state insurance regulators at all staff levels an opportunity for professional growth through completion of specific educational requirements which demonstrate expertise in different aspects of insurance regulation.

WANTED!!!

Your membership news!!!

Please let SOFE headquarters know of any activity or news in your department or firm. Has an employee recently joined SOFE, obtained a designation, been promoted, received a raise or retired from the department/firm?

Has a member had a significant event occur in their life? Gotten married, moved, had a baby, celebrated a big birthday?

Please share membership news with headquarters so that we can share it with membership. And pictures of the event are even better.

INSIGHT

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News From Headquarters

Deadline For Submitting Applications For Approval of the Executive Committee

All applications to be approved at the September 23rd meeting of the Executive Committee must be received at headquarter by **Friday, September 11th** to allow staff time to process them and get the proper information to the Vice President. Applications received after this date will not be approved at the September meeting. This includes all applications for designations and for membership.

2010 Membership Dues Invoices

The membership dues invoices will be mailed to members in November 2009. As recommended at the July 2009 Board of Governors meeting, we will work with each state insurance department and the individual consulting firms to find out if they would like a group bill rather than have us send individual invoices to the members. If your state or firm selects the group bill option, we will send an e-mail to you advising you that you will not be receiving an invoice. If you do not receive this e-mail and you do not receive an invoice by the end of November, please contact Brenda Lachapelle. All membership dues invoices must be paid by January 1, 2010.

