



INSIGHT

SOFE Congratulates This Year's Award Winners



Lewis Bivona is the winner of this year's Editor's Choice Award for his contribution to the publications of the Society during 2012. Presenting the award is Jenny Jeffers, vice president of Publications.



This year's Founders Award in recognition of dedication and service to the Society went to Jim Hattaway, pictured here between Ryan Havick, SOFE president on the left and Mike Dinius, SOFE past president on the right.



California State Chair Al Bottalico, pictured here was winner of the Don Fritz Award for outstanding service as a state chair

CDS 2012 Round Up

The 2012 SOFE CDS in Dallas, Texas, was a great success with near record turnout. Attendees thoroughly enjoyed the Sunday Night Social at Circle R Ranch and have expressed that this CDS was one of best yet for educational content, networking opportunities and fun. Many thanks for the success of this event go to the CDS sponsors and to the CDS Committee: Lewis Bivona, Jr .Philip Blustein, Donald Crawley, Mike Dinius, Kathleen Drake, Roshi Fekrat, Jim Kattman, Brian Sewell, Tarik Subbagh, Kara Thome, and CDS Committee Chair Rick Nelson, as well as the CDS Program Committee: Eric Dercher, Thomas Gauthier, Mike Dinius, Patrick Hyde, Jenny Jeffers, Josh Johnson, Marlin Kroenke, Craig Moore, Rick Nelson, Elaine R. Nissley, Nancy Stepanski, Rebecca Belanger-Walkins, and CDS Program Committee Chair Jim Kattman.

SOFE also thanks special guest speakers, Irving Texas Mayor, Beth Van Duyne, for her warm welcome to Texas, and Commissioner Eleanor Kitzman of the Texas Department of Insurance, and Commissioner Scott J. Kipper of the Nevada Division of Insurance, for their participation the Commissioners Roundtable.

The Society would also like to express its appreciation to the members of the Financial Examiners Educational Foundation who provided scholarship funds allowing fifty regulators to attend the 2012 CDS.

CDS Certificates are available for those who scanned into sessions at the 2012 CDS. Go to the SOFE home page www.sofe.org or the 'Education' tab and follow the links there.

INSIDE

- ◆ CDS 2012 Round Up
- ◆ 2012 CDS Sponsors
- ◆ 2013 Online Renewal
- ◆ Board of Governors and Executive Committee Transitions
- ◆ 2012–2013 Executive Committee and SOFE Committees
- ◆ Designations Awarded
- ◆ Upcoming CDS Events





Important Announcements

Special **THANKS** to the 2012 SOFE CDS Sponsors

PLATINUM LEVEL

Eide Bailly LLP
INS Regulatory Insurance Services, Inc.
Invotex Group
Noble Consulting Services, Inc.
Risk & Regulatory Consulting, LLC
(Formerly a business segment of RSM McGladrey, Inc.)
The Huff Group, LLC

GOLD LEVEL

Examination Resources, LLC

SILVER LEVEL

Bingham McCutchen, LLP
Taylor-Walker & Associates, Inc.
TeamMate Audit Management System

BRONZE LEVEL

AGI Services
Carr, Riggs and Ingram, LLC
McKonly & Asbury, LLP
Merlinos & Associates, Inc.
ParenteBeard LLC
Stroock & Stroock & Lavan LLP
The Thomas Consulting Group, Inc.

GENERAL LEVEL

Bluestone Work Paper Software
Lewis & Ellis, Inc.
Reinsurance Association of America

AES SESSION SPONSORS

Eide Bailly LLP
Jennan Enterprises, LLC
Noble Consulting Services, Inc.
Risk & Regulatory Consulting, LLC
(Formerly a business segment of RSM McGladrey, Inc.)

*And Special thanks to the Financial
Examiners Educational Foundation for
the 2012 CDS Scholarships*

2013 Online Renewal Now Available

**It's time to renew your SOFE membership!
SOFE 2013 dues are conveniently paid online
at www.sofe.org.**

It's as simple as 1-2-3!

1. Log into the Members Only area link at the upper right tab of the SOFE website.
2. Then click on "Renew Your Dues".
3. Once you have submitted your payment information, print your receipt for your records or to use for reimbursement purposes.

If you have forgotten your username and password information, follow the instructions at the Member login area. Please be sure to safe-list (and/or add to your contacts) sofewebmaster@sofe.org in order to receive a reminder of your login information. Do not create a new account, as that will not access your correct record.

2013 Membership Dues payment information was emailed previously. If you missed your announcement, safe-list (and/or add to your contacts) sofe@sofe.org and sofewebmaster@sofe.org in order to receive SOFE updates, as well as your online transaction information. If paying by check, login to the SOFE website to see your dues amount and then remit a check with full payment to SOFE, C/O Wells Fargo Bank, Lockbox #759265, Baltimore, MD 21275-9265. To receive correct credit for payment, you must submit with your payment: a clear record of your name, your member number, your email address, and note that the payment is for "SOFE 2013 Dues".

***NOTE—Dues for 2013 must be paid in full by
January 2013.***



Board of Governors and Executive Committee Transitions

Thanks to Outgoing Members of the Executive Committee and Board of Governors:

SOFE thanks the following CFEs retiring from the Board and /or Executive Committee in August as follows :

Sandy Bigglestone, CFE, Executive Committee (2011–2012); Board of Governors (2008–2012);

Roshi Fekrat, CFE- Executive Committee (2008–2012)

Jim Hattaway, CFE- Executive Committee (2007–2011); Board of Governors (2006–2011);

Bob Kasinow, CFE- Board of Governors (2008–2012)

Neil Miller, CFE- Executive Committee (2011–2012)

Candace Nalepa, CFE- Board of Governors (2008–2012)

Daryl Reese, CFE- Board of Governors (2002–2012)

Newly Elected Members of the Board of Governors:

Congratulations to the following members of the Board of Governors elected at the Annual Meeting on July 30 2012: Ryan Havick, Fred Heese, John Humphries, Jim Kattman, Annette Knief, Mark Murphy, Rick Nelson, Sean O'Donnell, Justin Schrader, Michael Shadowens, Shawn Towchick, and Virginia West.



Mike Dinius is honored for his two years of outstanding service as SOFE President



2012–2013 Board of Governors 1st Row: Joanne Campanelli, Jenny Jeffers, Annette Knief, Rick Nelson, Mike Dinius, Jim Kattman, Ryan Havick, Eric Dercher, Richard Foster, and Wanda LaPrath. 2nd Row: Mark Murphy, Bill Umbaugh, Doug Slape, Michael Shadowens, Sean O'Donnell, Chase Davis, James Nixon, Mary James, Rebecca Belanger-Walkins, and Trey Mills. 3rd Row: Tarik Subbagh, Eli Snowbarger, Shawn Towchik, Colette Sawyer, Lynn Beckner, Virginia West, Jean Adams, David Martinez, Dennis Kluk, Mario Cuellar, Mark Ossi, and Patrick Hyde. 4th Row: Neil Miller, Bryant Cummings, Brian Sewell, Frederick Heese, Leane Rafalko, John Humphries, Joseph Holloway, Ed Payne, Mark Jaster, Ignatius Wheeler. Not pictured: James Armstrong, Thomas Gauthier, Larry Lucas, Justin Schrader, and Nancy Thomas.

Continued next page...



Announcing the 2012–2013 Executive Committee and SOFE Committees.

Officers:

President – Ryan Havick, CFE
Treasurer – Eric Dercher, CFE
Secretary – Annette Knief, CFE
Pro Bono Legal Counsel – William D. Latza, Esq

Permanent Committees:

Committee volunteers are vital to the strength and growth of SOFE. Each year, SOFE leadership invites members to volunteer their service on the committee in which they are interested by contacting the respective committee chair or sofe@sofe.org. The SOFE Vice Presidents/Committee Chairs listed below determine who will serve on their respective committees.

Membership – Mark Murphy, CFE

The Membership committee reviews all general membership applications and reinstatement of designation applications. This committee also approves all applications for the AFE and CFE designations in the Insurance discipline. It must have at least 5 members. All members must be CFEs or AESs.

Examinations – Joanne Camponelli, CFE

This Insurance Testing committee must consist of at least 9 members who are CFEs. This committee responds to questions from test takers about specific exam questions. This committee will also develop a plan of updating all exams and developing a policy to keep all exams current.

Nominations – Colette Sawyer, CFE

This committee reviews and approves the applications of candidates for the expiring and open positions for the Board of Governors and executive committee. The Nominations committee must have at least 7 CFEs and AESs members.

Professional Standards – Richard Foster, CFE

This committee must consist of at least ten members, who may initiate and shall conduct investigations of potential disciplinary matters involving members of the Society. They also draft, interpret and amend the Society's Code of Ethics. All members must be CFEs or AESs.

Education – Wanda LaPrath, CFE

The education committee works with the headquarters staff in approving any non-NASBA approved sponsor. The committee also assists with the annual CRE forms. This committee also obtains the speakers/sponsors for the NAIC breakfast meetings. All members must be CFEs or AESs.

Continued next page...





Important Announcements

Ad Hoc Committees:

AES Committee – William Umbaugh, CFE, AES

The AES committee oversees the requirements for the AES designation and they approve all applications for the designation. You must hold the AES designation to serve on this committee.

Bylaws – Mike Dinius, CFE

This committee is responsible for reviewing the bylaws and submitting a revised set of bylaws for membership approval in 2012.

Career Development Seminar – Rick Nelson, CFE

The CDS committee is responsible for overseeing the entire CDS and working with the Certified Meeting Planner and headquarters staff in planning this event from conception to wrap-up.

CDS Programs – Jim Kattman, CFE

This committee is new this year. It was split from the CDS committee. This committee is responsible for obtaining all speakers and compiling a list of topics for CDS. They are also responsible for laying out the sessions.

Finance Committee – Eric Dercher, CFE

This committee assists the treasurer in reviewing the monthly financial statements. They also develop financial policies to be presented to the executive committee for adoption.

Future Sites – Rick Nelson, CFE

The Future Sites committee is responsible for selecting the venue for future CDS programs. They have currently selected sites through 2013. This year they will be looking at sites for the 2014 CDS as well as reviewing the feasibility of a joint seminar with IRES.

Marketing – Jim Kattman, CFE, Eli Snowbarger, CFE

The Marketing committee is responsible for SOFE name recognition within the insurance industry and working on membership growth.

Publications – Jenny Jeffers, AES

This committee is responsible for the Examiner and INSIGHT publications. This includes procuring authors and articles, editing articles, proofreading final drafts, and obtaining and approving advertising. The committee also oversees the CRE Reading Program and drafts the questions for each quarterly test.

State Chapters – Virginia West, CFE

This committee does not need any volunteers as it consists of the state chairs. They conduct semi-annual teleconferences by region with SOFE management and they are the link between headquarters and the membership to ensure the dissemination of information. The committee is responsible for the annual State Chapter luncheon at the CDS and the review and approval of the annual Don Fritz Award winner.

Website – Jenny Jeffers, AES

The website committee is responsible for the content and design of the Society's website as well as the continuous review and update of information contained therein.



Designations Awarded

Congratulations to the following members who were granted SOFE designations by the Executive Committee following our last publication of InSIGHT

CFE Designees

- Tanveer Ahmed – NJ
- Norman Brisker – TX
- Jing Yi Chen – CA
- Elizabeth DaFoe – MI
- Aaron R. Hibbs – OH
- Cathy S. Jones – FL
- William Knight – VA
- Joseph McGarry – MI
- James Morris – MD
- Noah Nelson – NE
- Lindsey Pittman – KS
- Scott Rennick – MO
- John Romano – PA
- Ava Walker – TX
- Weimei Ye – UT
- Melissa Zade – NE

AFE Designees

- Matthew Council – PA
- Kim M. Edwards – NC
- Vanessa Esparza-Lim – TX
- Neal Foster – SC
- James Gowins – TX
- Mary Hartell – MN
- Aaron Hibbs – OH
- Joseph Krug – NY
- Youngjae Lee – WA
- Robert Meszaros – FL
- Mary Craig Misenheimer – AR
- Brent Pearson – WV
- Derek Petersen – NE
- Lindsey Pittman – KS
- Euli Rath – WA
- Jamie Taylor – WV
- Belinda Williams – AL



Welcome New Members!

Welcome to the new SOFE members who were approved by the Executive Committee following our last publication of InSIGHT :

- | | |
|------------------------------|-------------------------|
| John Atienza – CA | Joseph Krug – NY |
| Victoria Au-Yeung – CA | Tzuwen Meyer – CA |
| Christine Bowles – WA | John Paul Loughran – CT |
| Umrella R. Burns – TX | Karen Murphy – VT |
| Terrance Corbett – IN | Patrick Oki – HI |
| Sharon D. Cummings – NY | Brent Pearson – CO |
| Brian Davis – NE | William D. Phifer – AK |
| Cole Durant – MS | Debra Savage – TX |
| James R. Gill – WA | Shilo Smith – WA |
| Breanne Hancock – MS | Leon Tambue – NY |
| Kristen Hauanio-Tonogan – HI | Kelly Wensing – IN |
| Ann Kim – VA | Heather Yanazaki – HI |
| Tyler Kimura – HI | Beilin Zheng – CA |
| Mary Anne King – MS | |



Mark Your Calendars | Upcoming SOFE Career Development Seminars



2013

July 21–24
Henderson Lake, Nevada
Red Rock Resort



2014

July 27–30
Philadelphia, Pennsylvania
Loews Philadelphia Hotel

Upcoming Executive Committee Meeting

The next Executive Committee Meeting is October 18, 2012 at DMG in Reston, VA.
Check the SOFE Calendar at www.sofe.org for future meeting dates

AUTHORS WANTED

The Publications Committee is looking for members to write articles for the quarterly Examiner magazine.

Authors will receive six Continuing Regulatory Credits (CRE) for each technical article selected for publication.

Interested authors should contact the Publications Committee Chair, Jenny Jeffers, via sofe@sofe.org

